

Report for: Cabinet – 8 March 2022

Title: 2021/22 Finance Update Quarter 3 (Period 9)

Report

Authorised by: Jon Warlow, Chief Finance Officer & Section 151 Officer

Lead Officer: Frances Palopoli, Head of Corporate Financial Strategy & Monitoring

Ward(s) Affected: N/A

Report for Key/

Non-Key Decision Key Decision

1. Introduction

- 1.1 This budget report covers the position at Quarter 3 (Period 9) of the 2021/22 financial year including General Fund (GF) Revenue, Capital, Housing Revenue Account (HRA) and Dedicated Schools Grant (DSG) budgets. The report focuses on significant budget variances including those arising as a result of the forecast non-achievement of approved MTFS savings as well as the best estimates of the ongoing impact of the Covid-19 pandemic (C19) on the Council's financial plans.
- 1.2 The Budget/Medium Term Financial Strategy (MTFS) 2021/22-2025/26 report agreed by Full Council in March 2021 continued to assume that the Council could rely on general and specific grants from government to address the financial impact of the pandemic on 2021/22 budgets. The Budget also continued to acknowledge and respond to forecast demands and take as realistic a view of its circumstances as possible and as a consequence £8.6m (before savings) was invested primarily into Adults and Children's services.
- 1.3 The overall forecast General Fund variation from budget for the year as at Qtr3 stands at **£21.8m (£23.0m Qtr2)** with **£12.43m (£12.87m Qtr2)** attributable to C19 and **£9.36m (£10.20m Qtr2)** base budget pressure. Although this represents a small improvement on the Qtr2 position this has largely been achieved by the application of £3m earmarked inflationary budget provisions now assumed not to be required this year. The two care services are forecasting a further £2.6m deterioration in base budget pressure from the previous quarter as they continue to be adversely impacted by the direct and indirect consequences of the pandemic on demand as well as increased cost of placements. Identifying mitigations in these predominately statutory services will be challenging however, the Council must look to identify opportunities in other areas to seek to offset this spend as far as possible before year end.
- 1.4 In terms of C19, the financial impact for most priorities appears to have stabilised and the £9.1m un-ringfenced emergency C19 grant plus other specific grants and income compensation are still expected to offset the **£12.43m** in full. It must be recognised however, that there have been some considerable improvements in forecasts in some of the services which is masking increased C19 expenditure forecasts in Economy and Adults.

- 1.5 The financial pressure on the 2021/22 DSG budgets has also stabilised this Qtr with **£6.69m** overspend forecast (£6.38m Qtr2). The Council is currently finalising the DSG Management Plan which will be a live document that will be shared periodically with the DfE. This remains a national issue impacting many councils which the Government will need to address.
- 1.6 Excluding framework budgets which are held to allow the Council to respond to opportunities, the spend forecast in the 2021/22 capital programme has reduced this Qtr to 51% of budget (62% Qtr2).

2. **Cabinet Member Introduction**

- 2.1 This report provides an update on our in year financial position, as at the third quarter of the financial year. The report presents both base budget variations, and those which are exceptional, and are directly related to the pandemic.
- 2.2 The overall position is improved from that reported at the last quarter with a £1m favourable movement overall. There are several factors continuing to this. Pressure within our social care budgets has continued to grow over the last quarter, and in these statutory demand led services, it is challenging for the Council to be able to reduce spend in the short term. Offsetting these increased pressures in service budgets are improvements in a number of other budget areas, in and most significantly the release of corporate inflationary provisions which the Council is not now forecast to require in the current financial year.
- 2.3 As regards the directly related costs of the pandemic, these continue to be stable, and we continue to anticipate that they will be offset by various government funding streams. The ongoing effects of the pandemic are, however, of great concern, and we have looked to take these into account in our planning for the 2022/23 budget and MTFS. A great deal of uncertainty surrounds how the pandemic will eventually unfold and the long term this will have on the Council's finances. The Council will continue to monitor and report on this going forwards.

3. **Recommendations**

Cabinet is recommended:

- 3.1. To note the forecast base budget revenue outturn for the General Fund of **£9.37m** and that Directors are seeking actions to bring the forecast down before the end of the year. (Section 6, Tables 1a and 1b, and Appendix 1).
- 3.2. To note that the **£12.43m** forecast Covid pressure on the GF is expected to be offset by Government funding (Section 6 and Table 1a).
- 3.3. To note the net Housing Revenue Account (HRA) forecast of £0.3m overspend (Section 6 and Appendices 1 and 2).
- 3.4. To note the net DSG forecast of £6.69m overspend. (Section 6 and Appendix 1).

- 3.5. To note the forecast budget savings position in 2021/22 which indicates that £4.9m (46%) may not be achieved. (Section 6 and Appendix 3). This is incorporated in the GF budget pressures addressed in recommendations 3.1 and 3.2 above.
- 3.6. To approve the proposed budget adjustments and virements to the capital programme as set out in Table 2 and Appendix 6.
- 3.7. To note the forecast expenditure of £241.7m in 2021/22 which equates to 51% of the revised capital budget (Section 8 and Appendix 4).
- 3.8. To approve the revenue budget virements and receipt of grants as set out in Appendix 6.
- 3.9. To note the debt write-offs approved by officers in Quarter 3 2021/22 (Appendix 7) and to approve the debt write-offs greater than £50k in Appendix 7a.
- 3.10. To note the C19 grants schedule (Appendix 8).
- 3.11. To agree to delegate the authority to enter into a pensions agreement with the London Pensions Fund Authority (LPFA) in relation to historic Greater London Council/Inner London Education Authority pension liabilities, to the Director of Finance, as set out in section 9, and to note that the financial implications are in line with the Medium Term Financial Strategy assumptions.
- 3.12. To note the delegated decision made by the Director of Finance to enter into a 'Master Assignment Agreement' with the Secretary of State for Business, Energy and Industrial Strategy (BEIS) for the recovery of debts relating to the Covid 19 business rates grants schemes, as set out in section 10.
- 3.13. To approve the allocation of £1.1m from the approved capital programme contingency to the Alexandra Palace and Park Trust for urgent capital works, as set out in section 8.
- 3.14. To agree to defer repayment of £0.414m of loan repayments from Alexandra Palace and Park Trust in both 2021/22, and 2022/23, as set out in section 8.
- 3.15. To accept the CCG Grant of £1.34m in relation to the Wood Green NHS Hub project, as set out in section 8.
- 3.16. To approve a budget virement of £1m Strategic Community Infrastructure Levy from DEN budget to the Wood Green NHS Hub project, as set out in section 8.
- 3.17. To accept the GLA grant of £10m in relation to the High Road West scheme, from the Mayor's Land Fund, as set out in section 8.

4. Reason for Decision

- 4.1 A strong financial management framework, including oversight by Members and senior management, is an essential part of delivering the council's priorities and statutory duties. This is made more critically important than ever as a result of the on-going financial implications placed on the Council by the Covid-19 crisis.

5. Alternative Options Considered

- 5.1 The report of the management of the Council's financial resources is a key part of the role of the Director of Finance (Section 151 Officer) in helping members to exercise their role and no other options have therefore been considered.

6. Revenue Outturn

6.1. Covid -19 Financial Impact

- 6.1.1 As highlighted in Section 1 above, the Council continues to plan on the basis that government support will offset the forecast financial impact of C19 which was the case for 2020/21. However, it remains imperative that the forecast impact on agreed plans is carefully monitored throughout the year alongside receipt of government funding. Should insufficient resources be received from government, the Council will need to meet the shortfall from its own resources.

- 6.1.2 Table 1a below summarises the forecast C19 pressure on the GF and government funding assumed at Qtr3.

Table 1a

	P9	P6	Movement
General Fund	2021/22	2021/22	2021/22
	(£m)	(£m)	(£m)
Covid Pressure	12.43	12.87	(0.44)
Less: Un-ringfenced Emergency Grant received	(9.10)	(9.10)	0.00
Less: Income Loss Compensation Grant estimate	(2.50)	(2.70)	0.20
Government Funding Assumed	0.83	1.07	(0.24)
(b) Residual Non-Covid Pressure / (Surplus)	9.37	10.17	(0.80)
TOTAL Covid (a) + Non-Covid (b)	10.19	11.24	(1.05)

- 6.1.3 It should be stressed that the impact of C19 on the Council's **Collection Fund** continues, with in year collection estimated at 95.5% for Council Tax and 94% for Business Rates well below the 96.5% & 98% targets pre-pandemic. The Council received £3.6m Local Council Tax Support grant for 2021/22 which Haringey has utilised to fund increased CTRS claimant numbers (and therefore reduced Council Tax collection). The Introduction of a Local Welfare Assistance Scheme, Haringey Strategy for Tacking Debt and Haringey Ethical debt Reduction Policy are tools being used to support residents struggling financially. Government S31 grants continue to be paid to offset the on-going reliefs provided to businesses which continue to be significantly impacted by the pandemic.
- 6.1.4 The 2021/22 Budget and MTFS assumed lower collection rates for both of these revenue streams and bad debt provisions overall were augmented as part of the 2020/21 account closure process. The impact of lower than planned collections will manifest on GF revenue budgets in 2022/23 and 2023/24 and the most up to date forecasts have been built into the 2022/23 Budget/MTFS 2022/27.

6.2 General Fund Forecasts

6.2.1 Table 1b below sets out full year projections at priority level.

Table 1b – Revenue Budget Monitoring Forecast for Quarter 3 2021/22

Priority	Revised 2021/22 Budget	Total SAP Forecast	Base Budget Pressure / (Saving)	Covid Pressure	P9 Total Variance	P6 Total Variance	Movement P6 to P9
	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Housing	17,317	18,542	71	1,153	1,224	1,215	9
People - Children's	65,889	73,954	5,025	3,040	8,065	7,039	1,027
People - Adults	87,700	96,436	6,536	2,200	8,736	6,648	2,088
Place	30,405	36,350	2,058	3,888	5,946	5,585	361
Economy	5,294	8,341	1,154	1,893	3,047	3,053	(6)
Your Council-Service	8,802	9,655	598	255	853	2,450	(1,597)
Your Council-Corporate	33,669	27,592	(6,077)	0	(6,077)	(2,950)	-3,127
General Fund Total (before funding & DSG)	249,076	270,871	9,366	12,429	21,795	23,040	(1,245)
External Finance	(249,076)	(249,076)	0	0	0	0	0
General Fund Total		21,795	9,366	12,429	21,795	23,040	(1,245)
DSG		6,689	6,689	0	6,689	6,380	309
HRA		308	308	0	308	937	-629
Haringey Total		28,791	16,362	12,429	28,791	30,357	(1,566)

6.2.2 The further £2.6m increase in base budget forecast pressures in the two care services over and above the £8.9m at Qtr2 is of great concern. With a sizeable structural funding gap forecast in future years, it is critical that services remain as closely within their agreed 2021/22 budgets as possible. However, it must be recognised that much of the forecast variance is caused by the indirect impact of the pandemic. This is particularly true in the social care services which continue to see significant step up in demand since the original budgets were set; this has been coupled with increased placement and other costs. These are pressures not expected to be met by Government this year as they are consequential, rather than direct impacts of the pandemic.

6.2.4 It should also be stressed that the £9.37m forecast is already **net** of a £6.1m forecast underspend against corporate budgets, predominately interest payments and, this quarter, earmarked inflationary budget provisions no longer forecast to be required this year. Where the Council has a statutory duty to provide a service, the options to reduce costs are constrained however, directors will continue to look to mitigate the pressures where possible. The financial planning process leading to the approval of the 2022/23 Budget sought to recognise and respond to the increased demand seen across the current year and social care budgets in particular have been increased as far as possible to match this evidenced demand.

6.2.5 However, the forecast year end budget variance cannot be ignored and the Council must look to identify opportunities in other areas to seek to offset this spend as far as possible before year end. Non-care service Directors are being asked to review the plans in place in their services to reduce overspending before the year end and identify any additional measures they can take to contribute to offsetting or at least contain the corporate overspend.

- 6.2.6 Members should be aware however that that the authority has sufficient financial means overall to cover off the forecast overspend should it not be fully mitigated by year end.
- 6.2.7 A detailed analysis at directorate level is attached in Appendix 1 along with relevant commentary.

MTFS Savings Delivery

- 6.2.8 Officers continue to monitor delivery of all agreed MTFS savings as part of their monthly budget monitoring processes. At **Qtr3 £4.9m (46%) (Qtr2 £5.8m/55%)** of the 2021/22 savings programme is forecast to deliver and Appendix 3 provides a detailed RAG rated analysis by Priority. This is a further drop and is contributing to the deterioration in the base budget forecast. The most significant change since the previous quarter is in Adults services which is now forecasting slippage of £1.4m this year. It should be noted though those services are forecasting slippage in delivery rather than non-delivery. This has been taken into account as part of the 2022/23 Budget formulation. Services also continue to monitor deliverability of savings agreed for 2022/23 and beyond.

7 Debt and Write Offs

- 7.1 Appendix 7 provides a summary of the debts under £50,000 written off in Qtr3 totalling £0.667m. These have been approved by the Director of Finance under his delegated authority and all are adequately provided for.
- 7.2 Under Haringey's constitution debts of £50,000 or more require the approval of the Cabinet member for finance or Cabinet. This quarter there are 13 such debts being recommended for approval as set out at Appendix 7 with a total value of £1.096m. These have arisen for different reasons in previous financial periods, and all available recovery action has now been undertaken. All are fully provided for and as per appropriate accounting practice, this position needs to be recognised in the Council's accounts and the debts written off. The detail surrounding each of these debts is set out in Appendix 7a

8 Capital Expenditure Forecast at Quarter 3

- 8.1 In quarter three, the capital programme has been further reviewed with the aim to closely align the financial performance of the programme, with actual progress. This review incorporates the known effects of the pandemic (such as labour shortages, material shortages, lengthened lead in times, inflation etc.), and a range of other factors. Findings of the review has brought to light some discrepancies between the current 2021/22 budgets and the expected physical progress. Consequently, the relevant budgets have been further realigned / reprofiled accordingly.
- 8.2 In addition, the capital programme contains a number of framework budgets. These budgets, such as the Strategic Acquisitions Fund, are there to enable the Council to

respond to opportunities as they arise but still be within the budget and policy framework.

- 8.3 These budgets are inherently difficult to forecast and if not accounted for will distort the performance of the capital programme. The table below restates the programme taking the review and the reprofiling into account as well as adjusting for the framework budgets.

Table 2 - 2021/22 Capital Expenditure Analysis as at Quarter 3

Priority	2021/22 Revised Budget (£'000)	2021/22 QTR. 3 Budget Adjustments (£'000)	2021/22 Framework Budget (£'000)	2021/22 Revised Budget (after adjustments) (£'000)	2021/22 Qtr. 3 Forecast (£'000)	2021/22 Budget Variance (£'000)	Variance Movt. Btw. QTR. 2 & QTR. 3 (£'000)
People (Children's)	41,024	0	0	41,024	28,133	(12,891)	(4,793)
People (Adults)	14,673	2,000	0	16,673	8,680	(7,993)	(2,575)
Place	43,894	1,100	0	44,994	31,196	(13,798)	(2,422)
Economy	225,122	10,506	(174,235)	61,393	35,564	(25,829)	(2,078)
Housing (GF)	13,050	0	(12,736)	314	314	0	314
Your Council	31,118	(1,078)	0	30,041	12,071	(17,969)	(14,210)
General Fund Total	368,881	12,528	(186,971)	194,438	115,958	(78,480)	(25,764)
Housing (HRA)	277,033	0	0	277,033	125,764	(151,269)	(26,387)
Total	645,914	12,528	(186,971)	471,471	241,722	(229,749)	(52,151)

- 8.4 At this point in the year, the forecast is for a spend level of £242m, or 51% of the restated budget, which reflects a reduction in quarter two forecast of £52m. Please refer to appendix four for detailed explanations and reasons for the reduction in forecast.

APPCT

- 8.5 The Council has been notified of a range of essential and urgent health and safety works that need to be undertaken at the Alexandra Palace by the Trust. The works include repairs to the outside of the building to prevent deterioration in its condition, mechanical and electrical works to renew outdated electrical wiring and boards and works to the ice rink to further protect people watching the skating. The works are estimated to cost £1.1m. It is recommended that £1.1m is allocated to the Alexandra Palace Maintenance budget from the approved capital programme contingency.
- 8.6 The impact of the pandemic on the Trust's trading subsidiary, Alexandra Park Trading Limited (APTL), has been and continues to be problematic with greatly reduced revenues. Whilst matters are improving the subsidiary is not making sufficient profits for it to gift aid them up to the Trust. The annual gift aid of c£1.2m has historically been nearly half of the Trust's revenue. The Trust has reduced expenditure wherever possible but is still in difficulty. The Trust has requested that the Corporate Trustee defers the loan repayments for 2021/22 and Cabinet is recommended to do so. In addition, the Trust has indicated that it will need to defer the loan repayments for the financial year 2022/23, it is also recommended that Cabinet agree this.
- 8.7 APPCT has also indicated that on current projections it could require additional revenue grant for 2022/23. This will be kept under review with APPCT.

Wood Green Integrated Care Hub

- 8.8 The Council has been approached to support the creation of the Wood Green Integrated Hub, a much needed medical facility for the residents of Wood Green. The creation of the Hub will underpin the regeneration and growth planned for the Wood Green area. The Council has been asked to contribute £1.0m.
- 8.9 There is no provision within the approved capital programme for the hub, however it has been identified that a corresponding amount of strategic community infrastructure levy (SCIL) can be vired from the District Energy Network (DEN) budget as it is no longer required.
- 8.10 The Council has been approached by the NHS to enter into a grant agreement and a memorandum of understanding in relation to the Hub. In order to secure the funding for the Hub, the NHS has requested that the Council receive a grant of £1.34m.

High Road West (HRW)

- 8.11 On the 21st December 2021 the Council entered into the various grant agreements with the GLA to fund the HRW project. The grant from the GLA and the associated payments to Lendlease have been built into the General Fund capital programme for future years. However, there is a grant payment of £10m being made to the Council in March 2022, as the first part of the Mayor's Land Fund grant, and Cabinet approval is sought to accept the grant.

9. London Pensions Fund Authority (LPFA) Agreement

- 9.1. The Greater London Council (GLC) and Inner London Education Authority (ILEA) were abolished in 1986 and 1990 respectively. On the abolition of these organisations, whilst pension liabilities for active employees at the time were transferred to the London Boroughs, the liabilities for deferred and pensioner members remained within the London Pension Fund Authority (LPFA), and a share of pension fund investment assets was retained to pay these liabilities. In 2001 a shortfall in this share of assets began to emerge, i.e. the funds retained by the LPFA to meet these liabilities for deferred and pensioner members would not be sufficient to meet the liabilities which are actually materialising.
- 9.2. The LPFA is a body with the power to issue levies to London Boroughs, and Haringey currently pays around £270k per annum to them. This levy relates to the administration costs of the LPFA paying historic pensions, as well as historic asbestosis claims as a large number of individuals were awarded pensions on the basis of ill health due to historic asbestos exposure. All else being equal, this levy would begin to reduce gradually over time as a result of these historic pension liabilities are extinguished, however the shortfall discovered in 2001 remains.
- 9.3. Dialogue has taken place between the LPFA and The Society of London Treasurers over many years regarding this shortfall, and it has been agreed that the boroughs should seek authorisation to fund 90% of the additional liabilities. Under such an arrangement, the levy issued by the LPFA will remain at the current level, and this would pay down the shortfall in 2001 liabilities which still exists.

10. BEIS Master Assignment Agreement

- 10.1. The Secretary of State for Business, Energy and Industrial Strategy (BEIS) has recently published guidance to local authorities for the recovery of debts relating to the various Covid 19 business rates grants schemes. After carrying out certain activities locally, Councils are able to transfer these debts to BEIS in order that they will take forward recovery action or make decisions regarding the write off of such debts. On economic grounds, it is in the Council's best interests to enter into this agreement to avoid the Council having to continue to pursue debts, given the Council is not resourced to do so.
- 10.2. In order to transfer any such debts to BEIS the Council was required to sign a 'master assignment agreement' with the Secretary of State for BEIS by 31st January 2022. This agreement was entered into by the Council's Director of Finance under their delegated powers.

9 Statutory Officers Comments

Finance

- 9.1 This is a report of the Director of Finance and therefore financial implications have been highlighted in the body of the report. In the light of the continued adverse year end variance in revenue budget forecasts this quarter, it is expedient that the Directors focus on the actions referenced in paragraph 6.2.5.

Strategic Procurement

- 9.2 Strategic Procurement notes the contents of this report and will continue to work with services to enable cost reductions.

Legal

- 9.3 The Head of Legal & Governance has been consulted on this report and makes the following comments.
- 9.4 The Council is under a duty to maintain a balanced budget. Pursuant to section 28 of the Local Government Act 2003, the Council is under a statutory duty to periodically conduct a budget monitoring exercise of its expenditure and income against the budget calculations during the financial year. If the monitoring establishes that the budgetary situation has deteriorated, the Council must take such remedial action as it considers necessary to deal with any projected overspends. This could include action to reduce spending, income generation or other measures to bring budget pressures under control for the rest of the year.
- 9.5 The Council must act reasonably and in accordance with its statutory duties and responsibilities when taking the necessary action to reduce the overspend. The Council is facing an unprecedented situation due to the pandemic and there is a risk of the financial impact on the Council if the government does not provide the Council with sufficient funding in year to cover the Council's costs due to the pandemic. That said, Members can take comfort from the assurance on funding set out at paragraph 6.2.6 of the report.

- 9.6 Pursuant to the Executive 'Financial management and resources' function set out at Part Three, Section C of the Constitution, the Cabinet is responsible for approving both virements and debt write offs in excess of certain limits as set out in the Financial Regulations at Part Four, Section I, Regulations 5.31 / 5.32 & 8.15(c) respectively.
- 9.7 Pursuant to Part Four, Section J (Contract Procedure Rules – Rule 17.1) of the Constitution, the Cabinet is responsible for approving grants from external bodies above £500,000.
- 9.8 In light of the above, coupled with the Equality Act 2010 comments below, there is no legal reason why Cabinet cannot adopt the Recommendations contained in the report.

Equalities

- 9.9 The Council has a public sector equality duty under the Equalities Act (2010) to have due regard to:
- Eliminate discrimination, harassment and victimisation and any other conduct prohibited under the Act
 - Advance equality of opportunity between people who share those protected characteristics and people who do not
 - Foster good relations between people who share those characteristics and people who do not.
- 9.10 The three parts of the duty applies to the following protected characteristics: age, disability, gender reassignment, pregnancy/maternity, race, religion/faith, sex and sexual orientation. Marriage and civil partnership status applies to the first part of the duty.
- 9.11 This budget report covers the position at Quarter 3 (Period 9) of the 2021/22 financial year including General Fund (GF) Revenue, Capital, Housing Revenue Account (HRA) and Dedicated Schools Grant (DSG) budgets. The report focuses on significant budget variances including those arising as a result of the forecast non-achievement of approved MTFS savings as well as the best estimates of the ongoing impact of the Covid-19 pandemic (C19) on the Council's financial plans.
- 9.12 It also includes proposed budget virements or adjustments. The recommendations in the report are not anticipated to have a negative impact on any groups with protected characteristics. In addition to this the Councils saving programme is subject to an equality assessment, which acts to mitigate against any potential impacts for those living and working in the Borough.

9 Use of Appendices

Appendix 1 – Directorate Level Forecast

Appendix 2 – HRA Forecast

Appendix 3 – MTFS Savings Delivery

Appendix 4 – Capital Programme Level Forecast

Appendix 5 – 2021/26 Revised General Fund (GF) Capital MTFS Budget

Appendix 6 – Virements (Revenue and Capital)

Appendix 7 and 7a – Debt Write Off
Appendix 8 – Covid-19 Related Grant Support

10 Local Government (Access to Information) Act 1985

10.1 For access to the background papers or any further information, please contact Frances Palopoli – Head of Corporate Financial Strategy & Monitoring extn 3896

Directorate Level Forecast P9

Appendix 1

PRIORITY	Revised 2021/22 Budget	P9 Outturn Forecast	P9 Forecast to Budget Variance	P6 Forecast to Budget Variance	Movement in Variance from P6 to P9
PEOPLE : CHILDREN'S	65,889,068	73,954,481	8,065,413	7,038,695	1,026,719
Childrens	53,438,394	61,151,523	7,713,129	6,765,054	948,075
Children's Commissioning	3,322,390	3,624,697	302,307	302,307	0
Children's Public Health	6,004,600	6,004,600	0	0	0
Schools & Learning	3,123,684	3,173,661	49,977	-28,667	78,644
PEOPLE : ADULTS	87,700,257	96,436,282	8,736,025	6,647,816	2,088,210
Adults Social Care	71,723,232	80,017,665	8,294,433	6,199,136	2,095,297
Adults Commissioning	4,490,350	4,931,942	441,592	425,059	16,533
Adults Public Health	11,486,675	11,486,675	0	23,620	-23,620
PLACE	30,404,879	36,350,497	5,945,618	5,584,774	360,845
Environment & Neighbourhood	23,139,512	28,913,710	5,774,198	5,410,049	364,149
Culture and Libraries	5,510,367	5,677,787	167,420	170,725	-3,305
Chief Finance Officer (Alexandra Palace)	1,755,000	1,759,000	4,000	4,000	0
ECONOMY	5,294,140	8,341,461	3,047,321	3,053,455	-6,134
Housing Regeneration & Planning	254,950	254,950	0	0	0
Housing	110,647	110,647	0	0	0
Planning Building Standards	2,337,781	2,759,291	421,510	332,394	89,116
Property & Capital Projects	-2,074,641	551,242	2,625,883	2,721,061	-95,179
Regeneration & Economic	4,665,403	4,665,331	-72	0	-72
HOUSING	17,317,201	18,541,672	1,224,471	1,215,464	9,007
Housing Demand	8,111,713	8,111,711	-2	-3,000,001	2,999,999
Housing Commissioned Services	-204,880	1,020,485	1,225,365	4,215,465	-2,990,100
Commissioning	8,950,374	8,949,482	-892	0	-892
Environment & Neighbourhood	459,994	459,994	0	0	0
YOUR COUNCIL	42,470,717	37,246,669	-5,224,048	-500,211	-4,723,837
Chief Finance Officer	33,718,895	27,891,780	-5,827,115	-2,700,214	-3,126,901
Corporate Governance	1,788,274	1,948,274	160,000	10,000	150,000
Corporate & Customer Services	6,284,478	6,206,458	-78,020	1,738,391	-1,816,412
Chief Executive	297,450	297,450	0	0	0
Strategy & Communication	363,173	524,528	161,355	168,164	-6,809
Human Resources	461,666	917,338	455,672	431,911	23,761
IT Digital Services	753	-239,294	-240,047	-279,697	39,650
Transformation & Resources	416,110	560,184	144,074	144,074	0
Strategic Procurement	-860,081	-860,048	33	-12,840	12,873
PRIORITY TOTAL	249,076,262	270,871,062	21,794,800	23,039,992	-1,245,191

Further detail on the drivers of the Priority variances follow:-

PEOPLE: CHILDREN'S

Over budget £8.07m (Q2 £7.04m)

At Q3, Children and Young People Services reporting a pressure of £8m, an increase of £1.02m from the Q2 position. This change is mainly a result of an increase in the number of Social Care placements in the period.

A large proportion (£3.14m) of the budget pressure relates to a COVID-19 pressures in the services. This pressure has been driven by a significant increase in social care activity with additional numbers and unit cost increases for placement costs and SEND transport. In addition, there is anticipated loss of income across a few services such as Pendarren and Children's Centres.

Safeguarding and Social Care is reporting a pressure of £6.1m. This pressure is largely increasing complexity and cost of placements and an increase in staffing and legal pressures linked to child protection cases in the service.

Early Help and Prevention service is reporting a pressure of £1.8m which is a combination of SEN transport pressures and shortfalls in Nursery and Children centres' income.

**PEOPLE: ADULTS & PUBLIC HEALTH
£6.648m)**

Over budget £8.736m (Q2

Adults and Public Health is forecast to spend £96.436m against a budget of £87.700m which is an adverse variance of £8.736m at Q3. This represents an increase in pressure of £2.088m from the £6.648m variance at Q2.

Adult Social Care, the Q3 adverse variance is £8.294m which is comprised of £2.200m COVID-19 related pressure, £2.300m base budget pressure, £3.650m savings and mitigations slippage, and £1.015m slipped savings carried forward from 20/21. Non-recurring grant funding of circa £1m is offsetting some of the pressures within the service. Pressure has arisen due to continued increased activity especially through short-term channels and complexity of care package costs due to legacy COVID-19 pressures. There has been significant slippage in demand mitigation projects and current demand has not been reduced in line with expectations because original modelling assumptions have been challenged by COVID-19 and difficulty in separating additional COVID-19 demand and base budget demand.

There has been a movement of £2.095m since Q2 in projected expenditure which is mainly attributable to £1.264m care purchasing due to a greater proportion of clients transferring from short-term to long-term care as well as an influx of high-cost and transition clients. Furthermore, due to delays caused by COVID-19 there is £1.072m slippage in savings and mitigations.

Adults Commissioning overall variance at Q3 is £0.442m which has not experienced material movement since Q2 (£0.425m). Pressure within the service is comprised of £0.215m COVID-19 related staffing pressure and £0.197m additional brokerage expenditure incurred to deliver client contribution income.

Adults Public Health is projected to break even with additional COVID-19 related expenditure being met by specific government grants.

It should be noted that there is an additional risk of a further increase in demand due to COVID-19 for packages of care that we are unable to quantify at this point in time: pressures arising through additional clients, care complexity, increased hours and carer breakdown. Dealing with COVID-19 continues to create unforeseen pressures on the service which ASC and health partners are dealing with. The impact and pressure are likely to change over the coming months as we begin to understand long-term and legacy implications of COVID-19. This poses additional risks to the budget position for 2021/22 and beyond especially if emergency funding measures were to cease.

PLACE

Over budget £5.946m (Q2 £5.585m)

Place Priority is forecasting an over spend of £5.946m for Qtr3; a worsened position on Q2 of £0.361m. This is due to a worsened position in base budget pressure issues of £0.919m, and an improvement of pressures from COVID of £0.558m.

Parking & Highways is showing a worsened position of £0.279m at Q3. This is mainly due to increased costs in Highways reactive maintenance due to high level of defects being recorded and a correction to a mis posting to the parking budget.

Operational Facilities Management is showing a worsened position of £0.123m at Q3. This is due to further full year additional COVID cleaning and security costs being accounted for in this budget area rather than in other service budgets; plus, cross-cutting savings in the mail room not being achieved.

These adverse movements are partly off-set by improvements in other areas from increases in external income, and reductions in staffing and other costs.

ECONOMY

Over budget £3.047m (Q2 £3.054m)

Economy Priority are reporting an adverse projected variance of £3.047m against the budget at Qtr3, in line with the Qtr2 forecast.

The main pressure remains Covid-19, which continues to significantly impact key income streams in Commercial Property (£1.316m) and Planning income (£0.395m). It is also creating overspends in Hard FM Services as a result of costs rising in Health and safety works (£0.138m) and other Covid pressures of (£0.065m).

The projected base budget adverse variance at Qtr3 is largely due to reduced capitalisation of costs in the Strategic Property unit of (£0.496m) and recovery of backdated rent payable on headlease renewals of (£0.400m). Emergency Planning continue to report a pressure of (£0.111m). It should be noted that this area is being closely monitored and any mitigations will be reported in the next Quarter.

The service is conducting ongoing reviews of these areas with the aim of reducing the impact of these pressures before year end.

HOUSING (General Fund) **£1.215m)**

Over budget £1.224m (Q2

Housing General fund reports a projected adverse variance of £1.224m the majority of which is driven by C19.

However, within the Temporary Accommodation budget area, the rent collection rate has improved slightly but is still lower than the budgeted performance of 97.5%.

This is being closely monitored with an aim to improve the position by the end of financial year.

HOUSING (Housing Revenue Account - HRA)

Over budget £0.309m (Q2 £0.936m)

The Housing Revenue Account reports a projected adverse variance of £308k. This represents an improvement of £629k on the position reported in quarter 2.

This movement in variance is largely driven by £400k lower than anticipated actual Landlords Insurance premium (leasehold & tenanted). There is also a recent favourable £222k revision of the Grounds Maintenance end of year forecast to match the agreed SLA charges.

Table 3 – HRA Budget Forecast (Quarter 3)

2021/22 - Q3 vs Q2						
HRA BUDGET 2021/22	2021/22 Revised Budget	HRA Projected Variance - directly related to Covid19	HRA Projected Variance - inherent base budget variance	Q3 2021/22 Forecast Variance	Q2 2021/22 Forecast Variance	Forecast Variance movement Q3v Q2
	£000's	£000's	£000's	£000's	£000's	£000's
UE0721 Managed Services Income TOTAL	(106,809)	0	762	762	513	249
UE0722 Managed Services Expenditure TOTAL	13,204	0	36	36	424	(388)
UE0731 Retained Services Expenditure TOTAL	84,821	0	-490	(490)		(490)
Surplus HRA Services (within Retained)	8,784	0	0	0	0	0
Balance of HRA Account	0	0	308	308	937	(629)

Your Council - Service

Over budget £0.853m (Q2 £2.45m)

The Your Council Services projected overspend has decreased by £1.6m from Q2 to £0.853m. This favourable movement is driven by a £1.00m reduction in projected Covid pressures to £0.26m, due to an increase in projected CT and NNDR court cost income to take account of higher levels of activity following the reopening of the courts (£0.85m), and the receipt of Government Covid grant to assist with the costs of administering the business grants (£0.127m) scheme. Refunds from a Government department of £0.5m and balance sheet cleansing expected to deliver benefits of £0.5m have improved base pressures to £0.598m at Q3, an improvement of £0.6m compared to Q2.

The key base budget variances and causes are detailed below:

- Human Resources (£0.4m overspend) - an overspend on the recruitment insourcing project and higher than expected recruitment activity with Hays between April and July 2021
- Finance (£0.3m overspend) – a continued requirement for agency staff to fill key posts in the establishment
- Corporate and Customer Services (0.2m overspend) – the need to delay the planned release of staff within (a) Customer Services as a result of delays in implementation of

the new parking system and b) Revenues and Benefits due to current workload pressures

- Corporate Governance (£0.2m overspend) - a pressure in Legal Services due to a projected shortfall in commercial income and significantly higher agency rates.
- Digital Services (£0.2m underspend) – planned contract savings
- The balance of the overspend (£0.2m) is made up of minor staffing costs pressures across the remaining services.

Your Council - Corporate

Under budget £-6.1m (Q2 £-2.9m)

The forecast underspend has increased by £3m this quarter due to is due to the application of £3m earmarked inflationary provision budgets for pay and non pay inflation, which are now assumed not to be required this year. The £2.9m underspend forecast at Qtr2 is still sound and is due predominately to capital financing borrowing costs assumed to be below budgeted figures as a result in slippage in the planned capital programme.

Dedicated Schools Grant (DSG)

Over budget £6.69m (Q2 £6.38m)

The DSG overall deficit position compared with Q2 has increased by £301k from £6.38m to £6.69m. The movement between Q2 and Q3 is as a result of a pupil number adjustment.

Table 4 – DSG Position Quarter 3

P9			
Blocks	Budget £'000	Forecast £'000	Variance £'000
Central Block	2,912	2,912	0
Early Years Block	21,036	21,036	0
High Needs Block	42,865	50,095	7,230
Schools Block	136,263	136,263	0
E40000	-203,076	-203,617	-541
Total	0	6,689	6,689

The main driver for the pressure in the High Needs block remains the increasing number of Education, Health and Care Plans (EHCP) in recent years. In addition, approximately 25% of our children who are looked after have an EHCP. Where we have children who are looked after with an EHCP and who require an out of borough placements e.g. specialist residential, the social and financial cost is higher than in borough.

The DSG reserve is ringfenced and outside the council's general fund reserves. The cumulative DSG deficit is detailed in the table below.

DSG cumulative deficit at Quarter 3

Blocks	Opening DSG at 01/04/21 £m	Schools Forum agreed transfer between blocks £m	Qtr3 Forecast Outturn Variance £m	Drawdown Request £m	Qtr3 Forecast Closing Balance £m
Schools Block	0.00	0.00	0.00	0.00	0.00
Central Block	(0.08)	0.00	0.00	0.00	(0.08)
High Needs Block	16.87	0.00	6.69	0.00	23.56
Early Years Block	0.11	0.00		0.00	0.11
Total	16.90	0.00	6.69	0.00	23.59

The Council is producing a DSG Management Plan which will be coproduced with various stakeholders and shared with the DFE and which will detail the various actions the Council is taking to manage the level of DSG overspend. The plan will be a live document which will continue to be shared periodically with the DFE. Whilst Council actions may mitigate the level of overspend increasing, it is not anticipated that the Council will be able to ameliorate this.

HRA BUDGET 2021/22	2021/22 Revised Budget	Q3 2021/22 Forecast	Q3 2021/22 Forecast Variance	Q2 2021/22 Forecast Variance	Forecast Variance Movement Q3 v Q2
	£000's	£000's	£000's	£000's	£000's
Service Charge Income - Hostels	-319,700	-236,821	82,879	82,879	0
Rent - Hostels	-1,943,300	-1,405,869	537,431	537,431	0
Rent - Dwellings	-82,030,000	-82,089,752	-59,752	73,299	-133,051
Rent - Garages	-861,000	-783,306	77,694	61,868	15,826
Rent - Commercial	-756,300	-756,300	0	0	0
CBS - Lease Rental Income	-1,984,000	-1,984,000	0	0	0
Income - Heating	-617,000	-618,715	-1,715	-20,397	18,682
Income - Light and Power	-1,016,000	-1,015,224	776	-32,812	33,588
Service Charge Income - Leasehold	-7,562,000	-7,562,000	0	0	0
ServChgInc SuppHousg	-1,495,000	-1,518,556	-23,556	-71,396	47,840
Service Charge Income - Concierge	-1,741,000	-1,600,208	140,792	87,484	53,308
Grounds Maintenance	-2,201,000	-2,208,693	-7,693	-82,486	74,793
Caretaking	-1,943,000	-1,931,577	11,423	-50,986	62,409
Street Sweeping	-2,338,000	-2,334,085	3,915	-71,913	75,828
Water Rates Receivable	-1,200	-1,200	0	0	0
Managed Services Income	-106,808,500	-106,046,306	762,194	512,970	249,224
Supported Housing Central	290,600	205,448	-85,152	-85,152	0
Housing Management WG	23,500	23,500	0	0	0
Housing Management NT	28,300	28,300	0	0	0
Housing Management Hornsey	0	0	0	0	0
TA Hostels	252,300	427,071	174,771	174,771	0
Housing Management ST	9,500	9,500	0	0	0
Housing Management BWF	11,600	11,600	0	0	0
Rent Accounts	0	0	0	0	0
Accountancy	0	0	0	0	0
Under Occupation	170,600	170,600	0	0	0
Repairs - Central Recharges	2,300	2,300	0	0	0
Responsive Repairs - Hostels	387,300	610,921	223,621	223,621	0
Water Rates Payable	31,000	31,000	0	0	0
HousMgmtRechg Cent	110,000	110,000	0	0	0
Other RentCollection	138,400	138,400	0	0	0
Management Special - Nth Tott	0	0	0	0	0
HousMgmtRechg Energy	1,128,100	1,128,100	0	0	0
Special Services Cleaning	3,189,300	3,300,000	110,700	110,700	0
Special Services Ground Maint	2,075,300	1,853,707	-221,593	0	-221,593
HRA Pest Control	296,800	296,800	0	0	0
Estate Controlled Parking	145,300	145,300	0	0	0
Supporting People Payments	1,861,000	1,332,528	-528,472	0	-528,472
Commercial Property - Expenditure	0	0	0	0	0
Bad Debt Provision - Dwellings	2,535,000	2,535,000	0	0	0
Bad Debt Provision - Leaseholders	91,000	91,000	0	0	0
Bad Debt Provisions - Hostels	68,000	68,000	0	0	0
HRA- Council Tax	358,800	721,000	362,200	0	362,200
Managed Services Expenditure	13,204,000	13,240,075	36,075	423,940	-387,865
Housing Delivery Team	0	0	0	0	0
Anti Social Behaviour Service	610,800	610,800	0	0	0
Interest Receivable	-303,600	303,600	0	0	0
Corporate democratic Core	600,900	510,800	-90,100	0	-90,100
Leasehold Payments	-142,200	142,200	0	0	0
Landlords Insurance - Tenanted	326,000	354,133	28,133	0	28,133
Landlords - NNDR	138,000	138,000	0	0	0
Landlords Insurance - Leasehold	1,939,100	1,510,690	-428,410	0	-428,410
HfH-Insourcing to LBH	0	0	0	0	0
Capital Financing Costs	16,242,000	16,242,000	0	0	0
Depreciation - Dwellings	20,197,000	20,197,000	0	0	0
ALMO HRA Management Fee	39,271,300	39,271,300	0	0	0
Community Benefit Society (CBS)	0	0	0	0	0
Housing Revenue Account	8,784,000	8,784,000	0	0	0
GF to HRA Recharges	3,264,700	3,264,700	0	0	0
Estate Renewal	1,370,000	1,370,000	0	0	0
HIERS/ Regeneration Team	1,306,500	1,306,500	0	0	0
Retained Services Expenditure	93,604,500	93,114,123	-490,377	0	-490,377
Balance of HRA Account	0	307,892	307,892	936,910	-629,018

Appendix 3a provides progress on savings 2021-22 delivery on a more detailed level.

MTFS Savings Ref	Saving proposal	2021/22 £'000s	2021/22 Saving achieved YTD £'000s	2021/22 Projected Full Year Savings £'000s	2021/22 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)	Comment on Delivery RAG Status
People - Children							
PC2	Reduce operational costs	(250)		(250)	0	Green	
20/25-PE03	Invest to Save - Edge of Care	(241)		(18)	(223)	Green	
20/25-PE04	Invest to Save proposal - In-House Fostering	11		11	0	Green	
20/25-PE05	Invest to Save - SEND Transport	(216)		(216)	0	Green	
20/25-PE06	Invest to Save - Pause Project	(1)		4	(5)	Green	
20/25-PE08	Invest to Save - Foster Carer Room Extension	0		(55)	55	Green	
20/25-PE09	0-19 year old public health commissioned services - a new integrated commissioned service delivery model	125		125	0	Green	
20/25-PE12	Reduce operational costs in Schools and Learning and Commissioning	25		25	0	Green	
CH102	Maya Angelou Assessment and Contact Centre Traded Service	82		10	72	Amber	Delayed implementation/slow uptake will result in target saving not being fully achieved in current year. Work ongoing to build up to full delivery next year
CH103	Delivering residential mother and baby assessments	239			239	Amber	Initial delivery method as described in Business Case proved to be not viable as PV sector partner no longer able to engage on those terms. Other options are currently being considered. The level of potential saving in this is still to be quantified. Shortfall mitigated by further cost avoidance activity by the Family Centre
Total: People (Childrens)		(226)	0	(364)	138		

MTFS Savings Ref	Saving proposal	Description	2021/22 Target £'000s	2021/22 Saving achieved YTD £'000s	2021/22 Projected Full Year Savings £'000s	2021/22 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2021/22 Saving)	Comment on Delivery RAG Status
People - Adults								
B2.7	Haringey Learning Disability Partnership	The Haringey Learning Disability Partnership, working jointly with Children's Services and with key partners such as the Clinical Commissioning Group and the London Borough of Islington, will implement a coherent strategy that aims to bring Haringey's demand and spending on adults with learning disabilities in line with our statistical neighbours and limit growth in spending in line with population growth.	1,430	830	930	500	Amber	High risk of only delivering partial savings due to resources being diverted away from savings programmes in emergency period.
B2.8	Mental Health	Working with our delivery partner, Barnet, Enfield & Haringey Mental Health Trust, the Clinical Commissioning Group and our communities to strengthen the prevention and 'enablement' pathways for mental health and to ensure the support we provide minimises the long-run dependency of adults with mental health issues. For those whose needs require a social care intervention, we will develop the market and look at new commissioning arrangements to improve value for money as well as promoting choice and control for the service user.	490	359	490	0	Amber	High risk of only delivering partial savings due to resources being diverted away from savings programmes in emergency period.
B2.9	Physical Support	Working with the CCG, acute providers and primary care to extend independence, choice and control to those with physical support needs and further strengthen the pathways that prevent, reduce and delay the need for social care.	1,070	1,007	1,070	0	Green	High risk of only delivering partial savings due to resources being diverted away from savings programmes in emergency period.
PA6	Transfer of High Cost Day Opps	Lease three ex-day centre premises to a local provider to support 15-20 service users at reduced cost, and closer to their existing support networks.	15	0	0	15	Amber	High risk of only delivering partial savings due to resources being diverted away from savings programmes in emergency period.
PA9	Further savings to be delivered by Adults Services	Further action by service to reduce cost of adult social care over the next 5 years (<i>re-profiled existing savings</i>)	180	0	0	180	Amber	Ongoing challenges with demand and staffing issues does provide an element of risk to achieving full target

20/25 PE01	Public Health Lifestyles	Look for alternative delivery options for lifestyles services (this includes; NHS Health Checks, smoking cessation, weight management and exercise programmes for the inactive), from April 2021 onwards. This proposal suggests alternative ways of delivering these services including: Reducing the capacity of services offered (but keeping services targeted at those who need them most), seeking partial funding from NHS partners, using an alternative delivery partner, joining up with other boroughs to commission the service for economies of scale.	60		60	0	Green	On course to achieve the savings of £60k from Life Expectancy
AS101	Fast Track Financial Assessments	Further to reviewing comparative statistics for income collection with our nearest neighbours, some areas of income collection were reviewed with the view to maximise council income collection. Existing income collection initiatives have been expanded upon where this has proved successful. this includes charging for managed accounts, fast tracking financial assessments, reviewing clients potentially eligible for charging that had not previously been assessed.	1,050	257	400	650	Amber	Backlog in assessments due to delays and challenges with recruitment due to pandemic.
AS102	Client Contributions	The income opportunities presented here are working with existing policies and approvals. This savings bid is noting the increased stretch for inclusion in the MTFS for 2020/21.	487	0	487	0	Amber	Backlog in assessments due to delays and challenges with recruitment due to pandemic.
	Adults Delayed Savings - C19		(1,621)		(1,621)	0		
Total: People (Adults)			3,161	2,453	1,816	1,345	0	0

MTFS Savings Ref	Saving proposal	2021/22 £'000s	2021/22 Saving achieved YTD £'000s	2021/22 Projected Full Year Savings £'000s	2021/22 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)	Comment on Delivery RAG Status
Place							
PL9	Leisure centre concessions	50			50	Red	Contract with Fusion is still in COVID recovery phase, it has not been possible to negotiate these savings due to fundamental shift in utilisation of the centres.
PL13	Parking Transformation Programme to deliver significant improvements to this service over the coming three years. Includes a CPZ rollout programme taking the borough to 100% coverage, and extending parking permit charging models to tackle emissions from Diesel vehicles	500	0	125	375	Amber	The CPZ programme was delayed and interrupted due to covid. Although schemes were carried forward to this year the overall timelines have been impacted as scheme by scheme is introduced. Additional delays due to Purdah and the pending elections next year. Consultations and developments have been delayed there is a view that parking decisions may impact on voting decisions.
20/25-PL03	CCTV enforcement of weight limits and emissions through ANPR/DVLA check. Use of new technology cameras to record vehicle reg plates and immediately look up DVLA database to establish vehicle weight and emissions. Will require significant investment in infrastructure and back office arrangements.	280	0	140	140	Amber	Capital investment £200k was approved for 2021-22. Procurement of camera's commenced, locations to be identified and implementation dates confirmed. The further £300k savings next year is subject to review dependant on contravention levels and compliance. Camera's due to be installed end of next month

20/25-PL04	Increase permit charges for highest emitting 'petrol' vehicles. A flat fee increase in Permit charge for the most polluting petrol emission band(s).	25	0	25	0	Green	on target
20/25-PL06	Contact Centre Efficiencies	50	0		50	Red	MTFS savings initiative on hold until further notice pending micro-website and associated channel-shift opportunities
20/25-PL07	Mechanisation of High Street Cleansing	150	0		150	Red	In-year, one-off budget pressure created by postponing the £150k yr1 savings to yr2, 2022/23
20/25-PL09	Hybrid Mail proposal	77	0	0	77	Amber	<p>Savings should not be expected to be made just out OFM Budget - Savings should have been made across all service budgets that use the Post room facilities.</p> <p>Whilst E&N budget does not reflect the savings achievement because full costs were not recharged out, originating Services had reduced spend, as such there were savings in finance year 20/21 due to Covid, reduction of post being sent out with staff not being in office.</p> <p>Service review been discussed with procurement and can use DMS to do review. Will be started by end of March 22. Will work with service users and other groups (digital together) to understand future requirements and options available for new ways of working. E&N to be trial service.</p>
20/25-PL11	New Lease Income v2	20	20	20	0	Green	Achieved

20/25-PL14	Parking Transformation Programme. Various workstreams - contactless £376, diesel & 2nd subsequent vehicle £300k and escalated essential permits, suspensions, car parks £164k MHCLG escalated 2021-22	840	0	364	476	Red	This saving is part of the PTP £2.5m that was made up of various workstreams. Not all elements were validated when the savings were originally taken and subsequently it is apparent that certain elements are unlikely to deliver savings target originally estimated. This is mainly as an effect of the delay in the virtual system Go-Live dates for the 3 phases. Last year budget savings of £1.360m was applied, however saving at this level were not achieved. The Service is reviewing the PTP £2.5m programme for more accurate achievable savings calculations. We are undertaking an exercise to review the factors that influence how and when the surcharge is applied. Permit module went live August. Review data to ascertain trends.
PL20/9	Full Cost recovery of services	130	0	20	110	Red	£130k cost recovery is predicated on gaining contributions from Spurs for all event cleansing and/or making reductions in Haringey's operational resources. Before new stadium, LBH paid ~£65k for Spurs event cleansing but received no contribution. £130k is the current whole year estimate of cost to clean for new stadium. £20k is the current financial contribution offered by Spurs. Negotiations with Spurs are ongoing.
PL20/18	Crematorium Lease and Parks Property	20	20	20	0	Green	Achieved
PL20/20	PL12 (Stage 2) Fuel Savings from Electric Vehicles	0	0		0		
PL20/21	Review of Events team	45	45	45	0	Green	Achieved

PL20/22	Visitors Vouchers Pricing Structure change	198	0	99	99	Red	Delay to the increase of visitors vouchers pricing levels. Virtual Permit Go-live in August has indicated a potential change in customer behaviour. We believe that the introduction of the electronic VP will help to some degree to address the abuse of the VP scheme, where those without entitlements were given access to the scheme. This may well drop the volume of sales. Customers purchased higher volumes (bulk buying) in the paper system, however, electronic VP customers are less likely bulk buying because of the nature of the electronic system - purchase as and when required. Customers may also be using up their residual vouchers valid up to DEC 2023 before purchasing virtual vouchers. We are reviewing data to ascertain impact and trends.
PL20/31	Concessionary Fares	1,200	0	1,200	(465)	Green	Achieved estimated surplus of £465k - reduced usage - to discuss impact of covid
PL20/32	Diesel surcharge - Pay for Parking	(10)	0	(10)	0		
PL20/35	Night Time Enforcement	(5)	0	(5)	0		
PL20/39	Management and Support structure review	160	160	160	0	Green	Achieved
PL20/3	Management of ASB Enforcement & Remodel of ASB & Waste Enforcement and Waste Services	78	78	78	0	Green	Achieved

PL20/25	Pay for Parking - Introduce a minimum 30 minute purchasable sessions, (currently 15 minutes)	250		125	125	Amber	<p>This proposal is no longer aligned to Parking/Transport policy. Alternative options have been considered.</p> <p>extract of Decision Making Report - July 2021</p> <p>Paid parking – purchase in 15-minute blocks</p> <p>4.29 - Paid parking, via the RingGo pay by phone / pay by app facility, is currently purchased in 15-minute blocks of time.</p> <p>4.40 - This report notes that these 15-minute blocks are an existing arrangement which will be formalised within the traffic management orders at the same time as other statutory processes arising from this report.</p>
PL20/27	Back office services efficiencies.	100	0	0	100	Red	Dependant upon Customer services demand reducing post implementation.
PL20/28	Introduce Sunday charges - Car Park Pricing Structure	27	0	14	14	Amber	subject to outcome of statutory consultation and cabinet approval - delays due purdah and political consideration
PL20/29	Introduce Sunday charges - Pay for Parking Pricing Structure	63	0	32	32	Amber	subject to outcome of statutory consultation and cabinet approval - delays due purdah and political consideration
PL20/30	Targeted recovery of PCNs issued to persistent evaders. Dedicated resources introduced as part of new operational model and PMIS	80	0	80	0	Green	On Target - Parking services recruitment to commence end of May. Risk due to Introduction of Breathable policy that may influence Enforcement Agents collection rates.

20/25-YC09	<p>Maximising income from filming and venue management. This proposal is in two parts. The first is to make Haringey more attractive to film companies by identifying vacant buildings for meanwhile use as production bases, and by making parking easier in order to generate income.</p> <p>The second is to consider employing staff, as an invest to save bid, to market the council's venues for events (currently uncoded).</p>	6	0	6	0	Green	On Target
YC104	Highway Searches	24		6	18	Red	New income stream dependent on external demand and market conditions. Initial estimates may have been slightly over-optimistic
Total: PARKING		4,358	323	2,543	1,350		

MTFS Savings Ref	Cabinet Decision Date	Saving proposal	Description	2021/22 £'000s	2021/22 Saving achieved YTD £'000s	2021/22 Projected Full Year Savings £'000s	2021/22 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)	Comment on Delivery RAG Status
Housing									
HO1	12-Feb-19	Temporary accommodation reduction plan	Reduce TA costs, as detailed in the TA Reduction Plan. Proposals include initiatives to prevent homelessness, improve economic position of those in TA, and help support those in TA to move on. Revenue costs covered by the Flexible Homelessness Support Grant. Plan also includes proposals to increase supply of low cost TA through new purchase, repair and management joint venture partnership, and capital investment in new Community Benefit Society. Please note that due to the additional costs incurred due to unforeseen works at BWF, it may not be possible to meet the projected savings.	573	0	0	573	Amber	saving has already been taken from the budget, but due to the reasons described on the previous tab there is overspend. If this saving could be reversed the overspend would be significantly reduced.
20/25-HO01	11-Feb-20	Transferring PSLs to HfH	Private Sector Leasing properties are leased by the Council from private landlords for between one and five years with a guaranteed rent for the term of the lease. Leases are mainly based on 90% of the 2011 LHA plus a £40 a week management fee (the latter being a transfer from FHSG). The CBS has been established to lease properties purchased by the Council to use them as TA or to discharge homelessness. Unlike the Council, the CBS can charge the current (2019) Local Housing Allowance (LHA) for the area the property is located in. Therefore moving these leases could mean total additional rental income of £1.19m if all leases were transferred. This would require, in each case, the landlords agreement to do so and additional incentives may be required. A reduction in savings of 25% has thus been included to account for this and additional costs	272	0	0	272	Amber	PSL Project has taken longer to establish than originally anticipated. Only really kicked off in March 2021 after a pause on the project related to the announcement to explore bringin HfH in-house .
HO101	01-Mar-21	Housing Team Salaries - increase HRA contribution		274			274	Green	saving has already been taken from the budget
HO102	01-Mar-21	HfH taking over the lease of PSL properties on their expiry		209			209	Amber	PSL Project has taken longer to establish than originally anticipated. Only really kicked off in March 2021 after a pause on the project related to the announcement to explore bringin HfH in-house .
Total: Housing				1,328	0	0	1,328		

MTFS Savings Ref	Saving proposal	Description	2021/22 £'000s	2021/22 Saving achieved YTD £'000s	2021/22 Projected Full Year Savings £'000s	2021/22 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)	Comment on Delivery RAG Status
Economy								
20/25-EC08	Strategic Property Unit – New Income Outdoor Media	This proposal comprises an opportunity to achieve new income potential by securing rental payments from outdoor media companies. This includes digital billboards and an innovative building wrap with a digital display for advertising purposes and council messages.	(100)			(100)		
PL8	Soft FM Efficiency	Re-commissioning of soft FM services and services delivered through Amey contract (e.g. efficiencies in postage & franking, front of house, security).	(25)			(25)		
20/25-PL08	FM Transformation	Terminating the Amey contract for FM Services and bringing Soft FM back in-house, and transferring Hard FM to Homes for Haringey. Approximately 100 staff will be in scope for a TUPE transfer. The proposed saving will be achieved through improved efficiency and returning Amey overhead and profit to the council. The transformation will include purchase of a new Property IT system, and service improvements particularly relating to building repairs and maintenance.	(150)			(150)		
EC101	Additional Recharge to Housing Services		300			300	Green	On target to be delivered
EC102	Additional Planning income from introducing new charges		200			200	Amber	On target for delivery however full delivery is being closely monitored. Although houseolder income is up the bigger applications are slow coming through
EC103	Reduction in Energy Consumption on corporate buildings		50			50	Amber	Delivery for this is being closely monitored to ensure we achieve it, as it is not known which buildings will generate this saving
Total: Economy			275	0	0	275		

MTFS Savings Ref	Saving proposal	Description	2021/22 £'000s	2021/22 Saving achieved YTD £'000s	2021/22 Projected Full Year Savings £'000s	2021/22 Savings (surplus)/ shortfall £'000s	RAG Status (Delivery of 2020/21 Saving)	Comment on Delivery RAG Status
Your Council (incl Council-Wide)								
A6.2	Audit and Risk Management	Reduction in the value of the externally procured internal audit contract; potentially changing the assurance model, or reducing the number of audits completed.	20	0	0	20	Red	The saving proposal was set many years ago with the intention of increasing assurances from other sources. This would require audit to provide assurances on fewer areas, thereby reducing the cost of internal audit. The Head of Audit and Risk Management has reviewed the level of assurances sought from audit, which remains at the same level as previous years and it is therefore unlikely the savings will be achieved. The review did highlight the opportunity to recharge the cost of audits and this will be reviewed after the end of this financial year once this year's audit plan is delivered.
YC1	Out of home advertising income generation	The proposal is to recommission the street furnishing advertising contract. Moving to digital display to ensure communication messages can be updated quickly, and to remove printing costs.	5	5	5	0	Green	
20/25-YC02	Income from joining the London Counter Fraud Hub	The London Counter Fraud Hub, managed by CIPFA, is a counter fraud service developed to supply data analytics, investigations and recoveries service for London local authorities and the City of London Corporation. Unlike traditional data matching hubs, this project is an end-to-end service providing expert advice and operational support around sophisticated analytics. The overarching objective for the service is to increase fraud and corruption detection, and improve fraud prevention, share common risks across London, minimise losses and maximise recovery, so that fraud and corruption does not pay. Three data sources (Council Tax - Single Person Discount, Housing Tenancy and Non Domestic Rate records are entered into the analytics part of the Hub through a secure transfer. Using sophisticated technology, the Hub will analyse the data to identify frauds against the 32 London local authorities and the City of London Corporation.	25	25	25	0	Green	

20/25-YC10	Additional sites for on street digital advertising	The proposal is to generate an income from the advertising opportunities in the borough. While we have recently awarded contract for our digital on street advertising, we are now looking at other forms of advertsing, which are sympathetic to the surroundings and maximise the councils commercial returns. This is in the form of street advertising, out of home advertising, and libraries/customer services advertising.	52	26	26	26	Amber	There will be a short fall in the number of units, as Clear channel are only looking at one additional site as the company recovers from the pandemic - this proposal was based on 5 to 10 additional sites pre-pandemic. This will incur a shortfall of £26k.
YC106	Reduction in Legal Services Support		163	163	163	0	Green	
YC105	Digital Services - Establishment Savings		250	250	250	0	Green	
YC101	Finance Savings		202	202	202	0	Green	
YC109	HR Savings		105	105	105	0	Green	
Total: Your Council			822	776	776	46	0	0
	Digital Together	Cross-Cutting Saving Proposal	750	90	90	660	Amber/ Red	As well as the £90k of cashable savings there have been a further £170k of non-cashable savings achieved through cost avoidance. However it is acknowledged that the programme will fall short of the savings target for Year 1. A lack of engagement at a Corporate level has hampered progress and some of the originally identified opportunities have not yielded forecast benefits.
			1,572	866	866	706		

2021/22 Capital Monitoring, @ Quarter Three (Dec. 2021) Projection Sheet

Appendix 4

SCHEME REF	SCHEME NAME	BRIEF DESCRIPTION OF CAPITAL SCHEME	21/22 Full year Revised Budget £'000	2021/22 Full year Forecast Outturn £'000	Budget Variance (Underspend) / Overspend £'000	Variance Btw. Forecasts £'000
101	Primary Sch - repairs & maintenance	A range of repairs to various schools covering boiler replacement, rewiring and other items.	6,845	5,339	(1,505)	(161)
102	Primary Sch - mod & enhance (Inc SEN)	A range of larger, substantial repairs to schools such as re roofing works, new windows, and major fabric replacement	24,126	18,707	(5,419)	(3,857)
103	Primary Sch - new places	To fund expansion of schools if required	362	49	(313)	0
104	Early years	To provide funding to increase/secure early years places	205	0	(205)	(10)
109	Youth Services	This budget is provision for the borough's Youth Services projects.	229	178	(50)	(51)
110	Devolved Sch Capital	This is passed 100% to schools	531	531	(0)	(0)
114	Secondary Sch - mod & enhance (Inc SEN)	A range of larger, substantial repairs to schools such as re roofing works, new windows, and major fabric replacement	5,029	1,871	(3,158)	(131)
117	Children Safeguarding & Social Care	This scheme is designed to increase the capacity to retain LAC in-borough	495	408	(87)	303
118	Special Educational Needs Fund (New Provision Fund)	This scheme is to fund the SEND programme and the budget has been transferred scheme 102.	1,024	0	(1,024)	0
121	Pendarren House	Works to the facility to bring it to a high standard of repair	858	702	(156)	2
122	Alternative Provision Strategy	To fund capital works that increase the number of AP places in the borough	0	8	8	8
123	Wood Green Youth Hub	This budget is provision for the new W.G Youth Hub	1,223	339	(883)	(883)
199	P1 Other (inc Con't & Social care)	This is a small programme contingency budget.	98	0	(98)	(14)
People - Children's			41,024	28,133	(12,891)	(4,793)

The quarter 3 forecast outturn is showing a further reduction in forecast by £4.8m from quarter 2. This is largely due to £4m anticipated slippage and delays in works being carried out within the major capital works on both Primary and Secondary School Modifications & Enhancements budgets. The Wood Green Youth Hub forecast has also reduced by circa £0.9m, due to procurement delays. There are other minor budget variances within some other capital schemes.

201	Aids, Adap's & Assistive Tech -Home Owners (DFG)	Grant funded programme of aids and adaptations to enable people to remain in their home	3,581	3,581	0	0
207	New Day Opp's Offer	This budget is funding for The Haven/Roundways project	66	178	113	0
208	Supported Living Schemes	Funding to convert property to supported living schemes reducing high cost placements with no loss of quality of service	456	520	64	520
209	Assistive Technology	The funding for AT will provide a greater range of Assistive Technology interventions that will enable individuals to live independently and safely for longer in their own homes, as well as greater opportunity for improved outcomes through better information and proactive	1,759	795	(964)	(27)
211	Community Alarm Service	This is the funding for the capital element of the service	177	177	0	0
212	Linden House Adaptation	This project is complete with a minor retention	35	65	30	10
213	Canning Crescent Assisted Living	This project is to provide a number of assisted living places	3,581	2,478	(1,104)	(1,104)
214	Osborne Grove Nursing Home	The scheme is in development to provide a 70 bed nursing home.	1,783	853	(929)	(725)
216	Homelessness Hub	The scheme is in development to provide a 70 bed nursing home.	0	0	0	0
217	Burgoyne Road (Refuge Adaptations)	This project is to provide a new women's refuge	736	33	(702)	(198)
218	Social Emotional & Mental Health Provision	This budget is to provide funding to provide additional in borough provision	900	0	(900)	0
221	Social Care System Implementation	This budget is to provide funding for the implementation of a new social care system	1,600	0	(1,600)	(1,051)
222	Wood Green Integrated Care Hub	This budget is provision for the new W.G Integrated care hub Hub	2,000	0	(2,000)	0
People - Adults			16,673	8,680	(7,993)	(2,575)

Adults capital programme has increased by £2m in quarter 3. This is in relation to an additional £1m CCG grant funding, which has been added to the newly created capital scheme 222 - Wood Green Integrated Care Hub. Furthermore, £0.4m - Tottenham Hale DEN & £0.6m - Wood Green DEN has been transferred to capital scheme 222.

Adults quarter 3 position is reporting an underspend variance against quarter 2 of £2.6m. Reason for the variance can be attributed to project delays within the following capital programme schemes: Canning Crescent Assisted Living - £1.1m, Social Care System Implementation - £1m and Osborne Grove Nursing Home - £0.7m. There are other minor budget variances.

301	Street Lighting	This is the annual investment in capital maintenance	1,513	1,262	(250)	(1)
302	Borough Roads	This is the annual investment in capital maintenance	4,716	4,365	(351)	236
303	Structures (Highways)	This is the annual investment in capital maintenance	526	49	(477)	(50)
304	Flood Water Management	This is the annual investment in capital maintenance	909	723	(187)	(86)
305	Borough Parking Plan	This funding underpins the borough parking plan	714	384	(330)	38
307	CCTV	This funding underpins the borough CCTV plan	1,784	2,715	931	328
309	Local Implementation Plan(LIP)	This funding is provided by TfL for infrastructure works called the Local Implementation Plan (LIP)	1,169	1,169	0	(20)
310	Developer S106 / S278	This funding is provided by developers to offset the deleterious effect of their development so that it is acceptable in planning terms	869	737	(132)	194
311	Parks Asset Management:	This is the annual investment in capital maintenance	458	278	(181)	(181)
313	Active Life in Parks:	This is the annual investment in capital maintenance	1,014	325	(690)	(690)
314	Parkland Walk Bridges	Investment in the refurbishment of a number of bridges	1,133	975	(159)	(159)
317	Down Lane MUGA	This budget is to cover investment in Down Lane Park	57	57	0	0
321	MOPAC - Crime & Disorder Reduction	This is a grant funded scheme	49	0	(49)	(49)
322	Finsbury Park	This budget is to cover investment in Finsbury Park funded through the events income	135	135	0	0
323	Parking Strategy	This funding underpins the borough parking strategy	1,160	674	(486)	(185)
325	Parks Vehicles	This budget is to be used for the procurement of energy efficient park vehicles. It is self-funding and is aimed to reduce carbon emissions.	0	0	0	0
328	Street & Greenspace Greening Programme	This is an annual programme of investment in street & greenspace tree planting programme. The programme is used to match fund other external funds and sponsorship opportunities to deliver circa 200-250 trees per year. The current programme is much greater than this due to a large grant from the Urban Tree Challenge Fund and NCIL funding in four wards.	283	283	0	0

329	Park Building Carbon Reduction and Improvement Programme	A four year programme to improve the quality of the parks operational estate (13 buildings) including reducing the energy consumption and water usage by installing new technologies to reduce the carbon emissions to Zero in line with the Climate Action Plan targets for 2027.	1,050	100	(950)	0
331	Updating the boroughs street lighting with energy efficient Led light bulbs	This budget supports the upgrade of the borough's lighting to LED bulbs	4,151	3,430	(721)	(1)
332	Disabled Bay/Blue Badge	The scheme is to fund new disabled bays and to upgrade existing ones.	552	130	(422)	20
333	Waste Management	To upgrade waste infrastructure in the public realm	270	48	(222)	(222)
334	Parks Depot Reconfiguration	A one off programme to facilitate the rationalisation of the parks operational depots across the borough.	400	50	(350)	(200)
335	Streetspace Plan	This scheme is to improve the street environment within Haringey.	5,100	842	(4,258)	(887)
336	New River Sports & Fitness	This scheme is to improve the street environment within Haringey.	305	305	0	0
419	NPD Phase 2 LBH Match Funding	This scheme is now concluded.	5	0	(5)	(5)
119	School Streets	The funding is to support the roll out of the schools streets initiative	1,105	341	(764)	(689)
444	Marsh Lane	The scheme is to provide a new depot on Marsh Lane, to be completed by November 2021	8,754	8,455	(298)	(0)
447	Alexandra Palace - Maintenance	The funding is made up of a regular £470k capital maintenance budget for the upkeep of the palace. In addition there are two projects underway	2,921	1,822	(1,100)	0
472	JLAC Match Fund	The scheme is to refurbish elements of Jackson Lane Arts Centre	880	766	(114)	370
606	Hornsey Library Refurbishment	This scheme is now concluded.	16	56	40	1
621	Libraries IT and Buildings upgrade	This is a programme of upgrades to the libraries in the borough	1,996	721	(1,275)	(164)
623	Wood Green Library	The funding is to undertake upgrades to Wood Green library	1,000	0	(1,000)	(22)
652	Libraries - Re-imaging our Libraries offer for a better future	This is a self funding budget to drive greater use in the libraries	0	0	0	0
Place - Safe & Sustainable Places			44,994	31,196	(13,798)	(2,422)

The Place capital programme has increased by £1.1m re: budget transfer of £1.1m from the approved capital programme contingency to the Alexandra Palace and Park capital budget for urgent capital works.

The quarter 3 forecast is showing a reduction in forecast of £2.4m from quarter 2. This is largely due to £0.9m reduction in forecast spend on the Streetspace Plan budget, which is anticipated to be allocated over a 3 year period. There are further anticipated reduction in spend on School Streets - £0.7m and Active Life in Parks - £0.7m, due to delays in project surveys and planning works. There are other minor budget variances.

401	Tottenham Hale Green Space	This budget is to deliver improvements to Down Lane Park and the Paddock green spaces	1,352	735	(616)	(416)
402	Tottenham Hale Streets	This budget is to deliver public realm improvements in Tottenham Hale	1,759	1,707	(53)	(53)
404	Good Economy Recovery plan	This scheme is to provide interventions in high streets, to promote economic activities.	1,637	848	(789)	10
406	Opportunity Investment Fund	The budget is provided by the GLA and is used to provide loans to businesses	542	50	(492)	(492)
411	Tottenham Heritage Action Zone (HAZ)	This budget funded by Historic England is to deliver shop front improvements, heritage restoration and public realm improvements within Bruce Grove Conservation Area	1,579	1,267	(312)	(0)
415	North Tott Heritage Initiative	This budget funded by National Heritage Lottery Fund is to deliver shop front improvements in Northumberland Park Conservation Area	360	298	(63)	12
418	Heritage building improvements	This scheme is largely grant-funded, to undertake works to private properties, to safeguard heritage buildings.	1,589	1,589	0	1
421	HRW Acquisition	The budget is for the acquisition of properties as part of the HRW redevelopment. The costs will be met by the developer.	13,882	13,882	0	7,238
427	White Hart Lane Public Realm (LIP)	The budget is for the acquisition of properties as part of the HRW redevelopment. The costs will be met by the developer.	0	(8)	(8)	(8)
429	Site Acq (Tott & Wood Green)	The budget is to provide the capacity to respond to opportunities to acquire properties. The spending of the budget is subject to a business case.	3,180	3,181	0	(285)
430	Wards Corner CPO	The budget is to provide resources to undertake the CPO process on Wards Corner.	7	7	0	7
438	Vacant possession Civic Centre (Woodside House Refurbishment)	This is a retention budget for this completed scheme.	0	(1)	(1)	0
452	Low Carbon Zones	This budget is used to undertake works pertaining to the neighbourhood of the future projects, which is solely funded by TfL	200	9	(192)	(144)

453	New workspace scheme at Stoneleigh Road car park	This budget is for the provision of mixed use workspace and housing. This budget is for the workspace element	0	0	0	0
454	HALS Improvement Programme	The scheme is to improve the physical environment of the service and to improve its on line offer	304	341	37	116
455	Replacement Cloud based IT solutions for Planning, Building Control & Land Charges	The funding is to be used for a replacement IT solution for planning	642	187	(455)	(218)
457	Future High Sreet Project	This budget funded by MHCLG is to deliver site acquisition, public realm improvements, workspace, market, community spaces and CCTV investments in Seven Sisters, Tottenham Green and Bruce Grove.	6,302	4,412	(1,890)	(399)
458	SIP - Northumberland PK BB & WorkSpace/Biz Support	This is a grant funded project to deliver broadband and Workspace/business support.	252	354	102	102
459	Wood Green Regen Sites	This scheme is to deliver the WG cultural quarter, WG central and Turnpike lane improvement plan.	788	141	(647)	(100)
464	Bruce Castle	The funding it to match fund eternal funding (should there be any) and spend is subject to a successful business case	557	88	(469)	(169)
465	District Energy Network (DEN)	The funding is to support the creation of a decentralised energy network and is subject to a successful business case	560	445	(115)	(114)
466	Redevelopment of Waltheof Gardens	The funding is to support the creation of a decentralised energy network and is subject to a successful business case	0	7	7	7
468	Keston Road (Community Centre Reprovision)	The funding is to support the creation of a decentralised energy network and is subject to a successful business case	0	5	5	5
470	Wood Green Library & Customer Service Centre	This budget is for the development of the WG headquarters and associated works	7,788	0	(7,788)	0
471	Tailoring Academy Project	This is a grant to the Tailoring Academy	15	10	(5)	5
473	Enterprising Tottenham High Road (ETHR)	This budget funded by GLA is to invest in workspace in Bruce Grove	1,181	440	(741)	33
474	Tottenham High Road Strategy	The budget is the LBH contribution to support delivery of projects within Tottenham High Road strategy area	807	761	(46)	40

475	Heart of Tottenham (HOT)	This budget is for the delivery of the Tottenham Green phase two works, which entails the creation of a new public square (behind the old town hall), hard & soft landscaping and a new Library garden.	173	186	14	(11)
478	Wood Green Good Growth Fund	This is a GLA funded scheme to promote growth in WG area.	710	369	(341)	(271)
479	54 Muswell Hill Health Centre	The Council is leasing the property to the NHS and the funding is to undertake some remedial works to the property and cover professional fees	100	0	(100)	0
480	Wood Green Regen (2)	This budget is to facilitate the wider regeneration of the WG area.	1,792	924	(868)	(159)
481	Strategic Investment Pot	This is funding provided the Corporation of London for economic development purposes	2,031	38	(1,993)	(913)
482	Strategic Property	This is funding for works to the commercial portfolio	5,202	0	(5,202)	0
483	Production Valley Fund (SIP)	This budget provides loans to businesses and is funded by the Corporation of London	643	685	42	42
488	Liveable Seven Sisters (LSS)	This budget is to deliver public realm and parks improvements in Seven Sisters	0	0	0	0
493	Bruce Grove Yards (BGY)	This budget is to deliver public realm improvements in Bruce grove	0	0	0	0
4001	Maintenance of Tottenham Green Workshops	This is to undertake landlord works at the site	681	39	(643)	9
4002	Northumberland Park estate area public realm	This funding is to improve the public realm in this area	500	3	(497)	(247)
4003	Tottenham Hale Housing Zone Funding	This budget funded by GLA is to invest in public realm within the Tottenham Hale Housing Zone	532	532	(0)	0
4005	SME Workspace Intensification	The funding is to intensify use of the Council's industrial estate and spend is subject to a successful business case	684	112	(572)	112
4006	Acquisition of head leases	The funding is to acquire headleases and any acquisition will be subject to a successful business case	485	485	0	(4,915)

4007	Tottenham Hale Decentralised Energy Network (DEN)	The funding is to support the creation of a decentralised energy network and is subject to a successful business case	285	250	(35)	(435)
4008	Wood Green Decentralised Energy Network (DEN)	The funding is to support the creation of a decentralised energy network and is subject to a successful business case	485	250	(235)	(410)
4009	Additonal Carbon Reduction Project	This budget is to assist other capital schemes to become more carbon efficient and it is self-funded.	500	0	(500)	(150)
4010	Selby Urban Village Project	The funding is to support the redevelopment of the Selby Centre and associated works	1,197	607	(590)	(56)
4011	Commercial Property Remediation	Funding to undertake landlord obligations.	109	331	222	148
4993	Pride in the High Road (PITHR)	This budget is to deliver placemaking / identity projects along Tottenham High Road	0	0	0	0
Economy - Growth & Employment			61,392	35,564	(25,828)	(2,078)

The Economy capital programme has increased by £10m re: Mayor's Land Fund grant from GLA, under scheme 421 - High Road West. Furthermore, the Wood Green Regen (2) capital programme budget has been reprofiled forward from future years into current year by £1.53m, in line with project delivery.

In contrary, £0.4m - Tottenham Hale DEN & £0.6m - Wood Green DEN has been transferred to capital scheme 222. Finally, £22k balance from the completed George Meehan (Woodside) House refurbishment budget has been transferred to capital contingency.

The quarter 3 forecast is reporting a forecast reduction of £2m in comparison to quarter 2. The major contributors to the variance is due to anticipated reduction in spend on Scheme 4006 - Acquisition of Head Leases, resulting from delay in the Munro Works purchase of Freehold due to complete next financial year. This has been patially offset by an increase in forcast within High Road West (HRW) relating to the Mayor's fund grant remittance due in March 2022. There are a range of other smaller slippages and minor variations.

509	CPO - Empty Homes	The budget is to allow the Council to undertake CPO on properties should it be required	314	314	0	314
512	Wholly Owned Company	The funding is to enable the establishment of a company should it be decided to establish one.	0	0	0	0
Housing (GF) Homes & Communities			314	314	0	314
Quarter 3 Housing General Fund budget is reporting a forecast variation from quarter 2 of £0.3m, due to a CPO payment. The budgets contained within this area are framework budget, the CPO budget, £8.1m and the budget for the Wholly Owned Company, £5m.						
601	Business Imp Programme	This budget is funding IT development to support the new ways of working	122	44	(78)	0
602	Corporate IT Board	This budget is funding IT development to support the new ways of working	1,520	825	(695)	(407)
604	Continuous Improvement	This budget delivers upgrade to the council's IT infrastructure.	2,245	2,245	(0)	144
605	Customer Services (Digital Transformation)	This budget is to provide capital works at the WG Customer Services centre.	471	170	(301)	(51)
607	Financial Management System Replacement	The budget is to fund upgrades to the existing SAP system to enhance functionality	2,522	13	(2,509)	(1,839)
622	Customer First	This budget is to support the delivery of the councils Customer First strategy.	101	95	(6)	(10)
624	Digital Together	This budget is to support the delivery of the councils Customer First strategy.	500	0	(500)	0
639	Ways of Working	This budget is to support the delivery of the councils accommodation strategy.	483	115	(368)	26
640	Accommodation Move	This budget supports capital expenditures associated with office moves.	0	(0)	(0)	(0)
650	Connected Communities	This budget provides capital funds to support the Connected Communities initiative.	1,258	0	(1,258)	0

653	Capital Support for IT Projects	This budget provides IT support to other schemes in the programme and it's self-funding.	784	0	(784)	(341)
698	Responsiveness Fund	The budget is to allow the Council to respond to in year match funding opportunities	2,000	2,000	0	0
316	Asset Management of Council Buildings	This scheme funds works to the council's operational buildings.	9,222	4,736	(4,486)	(3,667)
330	Civic Centre Works	This scheme is for the Civic centre refurbishment works	7,703	718	(6,985)	(6,985)
699	P6 - Approved Capital Programme Contingency	This is the approved capital programme contingency.	1,110	1,110	0	(1,077)
Your Council			30,040	12,071	(17,969)	(14,209)

The agreed council's capital contingency budget has decreased by net £1.78m. The reason for the decrease is due to £1.1m budget being transferred to Alexandra Palace and Park for urgent capital works from the approved capital programme contingency. Conversely, the decrease in budget has been partially offset by £22k budget transfer from the completed George Meehan (Woodside) House refurbishment works budget under the Economy priority group.

The quarter 3 forecast is an underspend variance of £14.2m from quarter 2. The main contributors to this variance is attributable to both Civic Centre Works - £7.0m and Corporate Landlord budget - £3.7m, due to delays in the project delivery. The Financial Systems Management budget is also anticipating an inyear forecast spend reduction of £1.8m. Finally, the agreed capital contingency forecast has reduced in forecast by £1.1m. There are other minor variations.

TOTAL GF CAPITAL PROGRAMME			194,437	115,958	(78,478)	(25,763)
202	HRA - P2 Aids, Adap's & Assist Tech - Council	Adaptations capital works for Council HRA properties to enable identified residents to help live an independent life.	1,100	1,100	0	0
550	New Homes Acquisition	Acquisition of new homes to supplement the existing HRA housing stock	75,441	37,414	(38,027)	(400)
551	Existing Home Acquisitions - TA	Acquisition of existing homes to supplement existing HRA housing stock	33,877	22,093	(11,784)	(9,639)
552	HRA – P5 Carbon Reduction	HRA capital programme contribution to the authorities overall climate change action plan to substantially reduce carbon emissions in the housing stock (managed by HfH)	5,892	269	(5,623)	(4,932)
553	HRA – P5 Fire Safety	HRA capital programme fire safety essential compliance works to the housing stock. (managed by HfH)	15,329	8,815	(6,514)	2,458
554	Broadwater Farm Project	Demolition and re-building of identified blocks within the Broadwater Farm estate due to urgent health & safety issues.(managed by HfH)	14,529	7,819	(6,710)	(28)
590	HRA - P5 Homes for Haringey (HFH)	HRA housing stock original capital existing stock programme , includes internal and external major , legacy decent homes and Noel park pods works programmes. (managed by HfH)	64,178	31,303	(32,875)	(7,982)
599	New Homes Build Programme	Building of new homes to supplement and increase the existing HRA housing stock	66,687	16,951	(49,736)	(5,864)
TOTAL HRA CAPITAL PROGRAMME			277,033	125,764	(151,269)	(26,387)
<p>The Quarter 3 annual spend forecast has reduced slightly compared to quarter 2 forecast. This is due to previously reported issues about the impact Covid, and Brexit have had on supply of materials and building costs. These led to delays on some onsite activity and procurement processes. Additionally, Covid has impacted on resources in the various teams tasked with delivering the programmes, as well as supporting Teams. There are also delays in obtaining planning permissions for the Noel Park project and continued issues of access and material suppliers for internal projects due to the pandemic.</p>						
OVERALL CAPITAL PROGRAMME			471,470	241,722	(229,748)	(52,150)

2021/26 (GF) CAPITAL MTFs BUDGET (INCLUDING 2020/21 C/F's) AS AT QUARTER THREE
Appendix 5

		2021/22 Revised Budget	2021/22 (IN-YEAR) Budget Virement	2021/22 (FUTURE YEARS) Budget Virement	2021/22 Revised Budget (after Virement)	2022/23 Budget	2023/24 Budget	2024/25 Budget	2025/26 Budget	2021/22 - 25/26 Total
SCHEME REF	SCHEME NAME	£,000	£,000	£,000	£,000	£,000	£,000	£,000	£,000	£,000
101	Primary Sch - repairs & maintenance	5,091	1,754		6,845	5,700	5,000	5,000	5,000	27,545
102	Primary Sch - mod & enhance (Inc SEN)	28,755	(2,002)	(2,627)	24,126	15,452	13,480	11,000	4,000	68,058
103	Primary Sch - new places	362			362	0	0	0	0	362
104	Early years	205			205	0	0	0	0	205
109	Youth Services	229			229	0	0	0	0	229
110	Devolved Sch Capital	531			531	531	531	531	531	2,655
114	Secondary Sch - mod & enhance (Inc SEN)	5,997		(968)	5,029	270	270	270	270	6,107
117	Children Safeguarding & Social Care	495			495	0	0	0	0	495
118	Special Educational Needs Fund (New Provision Fund)	0	1,024		1,024	0	0	0	0	1,024
121	Pendarren House	2,276		(1,418)	858	2,243	2,913	70	0	6,084
122	Alternative Provision Strategy	1,300		(1,300)	0	600	1,800	4,800	4,800	12,000
123	Wood Green Youth Hub	1,015	208		1,223	0	0	0	0	1,223
199	P1 Other (inc Con't & Social care)	223		(125)	98	125	0	0	0	223
People - Children's		46,478	984	(6,438)	41,024	24,921	23,994	21,671	14,601	126,209
201	Aids, Adap's & Assistive Tech -Home Owners (DFG)	3,095	486		3,581	2,193	2,193	2,193	2,200	12,360
207	New Day Opp's Offer	66			66	0	0	0	0	66
208	Supported Living Schemes	6,456		(6,000)	456	4,500	3,000	3,000	0	10,956
209	Assistive Technology	1,759			1,759	500	0	0	0	2,259
211	Community Alarm Service	177			177	177	177	177	177	885
212	Linden House Adaptation	35			35	0	0	0	0	35
213	Canning Crescent Assisted Living	6,390		(2,809)	3,581	100	0	0	0	3,681
214	Osborne Grove Nursing Home	17,783		(16,000)	1,783	6,036	34,504	2,545	1,094	45,962
217	Burgoyne Road (Refuge Adaptations)	2,736		(2,000)	736	2,250	0	0	0	2,986
218	Social Emotional & Mental Health Provision	900			900	600	600	600	0	2,700
221	Social Care System Implementation	1,600			1,600	1,600	0	0	0	3,200
222	Wood Green Integrated Care Hub	0	2,000		2,000	0	0	0	0	2,000
People - Adults		40,996	2,486	(26,809)	16,673	17,956	40,474	8,515	3,471	87,089

119	School Streets	1,105			1,105	600	600	600	0	2,905
301	Street Lighting	1,513			1,513	1,300	1,300	1,300	1,300	6,713
302	Borough Roads	4,716			4,716	4,769	6,044	6,924	6,924	29,377
303	Structures (Highways)	526			526	0	0	0	0	526
304	Flood Water Management	734	175		909	680	710	0	0	2,299
305	Borough Parking Plan	714			714	321	321	321	321	1,998
307	CCTV	1,784			1,784	1,000	550	0	0	3,334
309	Local Implementation Plan(LIP)	1,949	(780)		1,169	1,000	1,000	1,000	1,000	5,169
310	Developer S106 / S278	869			869	250	250	250	250	1,869
311	Parks Asset Management:	433	26		458	300	300	300	300	1,658
313	Active Life in Parks:	1,014			1,014	230	230	230	230	1,934
314	Parkland Walk Bridges	2,252		(1,119)	1,133	1,615	85	0	0	2,833
317	Down Lane MUGA	57			57	0	0	0	0	57
321	MOPAC - Crime & Disorder Reduction	49			49	0	0	0	0	49
322	Finsbury Park	1,135		(1,000)	135	600	600	1,000	0	2,335
323	Parking Strategy	960	200		1,160	0	0	0	0	1,160
325	Parks Vehicles	720		(720)	0	720	0	0	0	720
328	Street & Greenspace Greening Programme	283			283	100	100	100	0	583
329	Park Building Carbon Reduction and Improvement Programme	1,050			1,050	800	800	0	0	2,650
331	Updating the boroughs street lighting with energy efficient Led light bulbs	4,151			4,151	0	0	0	0	4,151
332	Disabled Bay/Blue Badge	552			552	0	0	0	0	552
333	Waste Management	270			270	200	0	0	0	470
334	Parks Depot Reconfiguration	400			400	0	0	0	0	400
335	Streetspace Plan	5,370	(270)		5,100	0	0	0	0	5,100
336	New River Acquisition	0	305		305					305
419	NPD Phase 2 LBH Match Funding	5			5	0	0	0	0	5
444	Marsh Lane	8,754			8,754	266	0	0	0	9,020
447	Alexandra Palace - Maintenance	470	2,451		2,921	470	470	470	470	4,801
472	JLAC Match Fund	880			880	0	0	0	0	880
606	Hornsey Library Refurbishment	0	16		16	0	0	0	0	16
621	Libraries IT and Buildings upgrade	1,996			1,996	0	0	0	0	1,996
623	Wood Green Library	1,000			1,000	1,000	0	0	0	2,000
652	Libraries - Re-imaging our Libraries offer for a better future	650		(650)	0	650	0	0	0	650
Place - Safe & Sustainable Places		46,360	2,123	(3,489)	44,994	16,871	13,360	12,495	10,795	98,515

401	Tottenham Hale Green Space	810		542	1,352	4,406	2,055	4,849	0	12,661
402	Tottenham Hale Streets	11,221		(9,461)	1,759	9,143	800	1,319	0	13,021
4003	Tottenham Hale Housing Zone Funding	6,735		(6,203)	532	10,989	0	3,203	0	14,724
404	Good Economy Recovery plan	1,637			1,637	500	100	0	0	2,237
406	Opportunity Investment Fund	542			542	0	0	0	0	542
411	Tottenham Heritage Action Zone (HAZ)	1,319	260		1,579	2,000	1,200	0	0	4,779
415	North Tott Heritage Initiative	360			360	0	0	0	0	360
418	Heritage building improvements	1,589			1,589	0	0	0	0	1,589
421	HRW Acquisition	107,738	10,000		117,738	3,940	6,830	6,000	4,600	139,108
429	Site Acq (Tott & Wood Green)	57,072			57,072	14,000	10,000	12,000	0	93,072
430	Wards Corner CPO	10,000			10,000	0	0	0	0	10,000
435	Wood Green Station Road	0			0	0	0	0	0	0
438	Vacant possession Civic Centre (Woodside House Refurbishment)	22	(22)		0	0	0	0	0	0
452	Low Carbon Zones	50	150		200	0	0	0	0	200
453	New workspace scheme at Stoneleigh Road car park	400	(400)		0	1,000	0	0	0	1,000
454	HALS Improvement Programme	125	178		303	0	0	0	0	303
455	Replacement Cloud based IT solutions for Planning, Building Control & Land Charges	642			642	0	0	0	0	642
457	Future High Sreeet Project	0	6,302		6,302	0	0	0	0	6,302
458	SIP - Northumberland PK BB & WorkSpace/Biz Support	0	1,742	(1,490)	252	1,490	0	0	0	1,742
459	Wood Green Regen Sites	0	788		788	0	0	0	0	788
464	Bruce Castle	5,557		(5,000)	557	6,000	8,500	5,000	0	20,057
465	District Energy Network (DEN)	2,331		(1,771)	560	6,500	3,500	1,771	0	12,331
470	Wood Green Library & Customer Service Centre	7,788			7,788	6,400	7,000	6,000	0	27,188

471	Tailoring Academy Project	15			15	0	0	0	0	15
473	Enterprising Tottenham High Road (ETHR)	1,907	(726)		1,181	451	0	0	0	1,632
474	Tottenham High Road Strategy	484	323		807	587	0	0	0	1,394
475	Heart of Tottenham (HOT)	773	(600)		173	0	0	0	0	173
478	Wood Green Good Growth Fund	50	659		710	0	0	0	0	710
479	54 Muswell Hill Health Centre	100			100	0	0	0	0	100
480	Wood Green Regen (2)	9,269	(1,447)	(6,030)	1,792	6,402	7,750	8,664	7,627	32,235
481	Strategic Investment Pot	2,831	(800)		2,031	1,950	0	0	0	3,981
482	Strategic Property	5,202			5,202	254	3	0	0	5,459
483	Production Valley Fund (SIP)	643			643	0	0	0	0	643
488	Liveable Seven Sisters (LSS)	1,704	(1,704)		0	2,250	1,019	0	0	3,269
493	Bruce Grove Yards (BGY)	1,326	(1,326)		0	1,670	218	0	0	1,888
4001	Maintenance of Tottenham Green Workshops	681			681	0	0	0	0	681
4002	Northumberland Park estate area public realm	1,000		(500)	500	500	0	0	0	1,000
4005	SME Workspace Intensification	2,116	(1,432)		684	3,500	4,000	0	0	8,184
4006	Acquisition of head leases	19,981		(13,000)	6,981	12,000	13,000	0	0	31,981
4007	Tottenham Hale Decentralised Energy Network (DEN)	1,814	(400)	(1,129)	285	3,129	5,000	7,000	7,500	22,914
4008	Wood Green Decentralised Energy Network (DEN)	1,614	(600)	(529)	485	2,529	2,500	7,500	7,500	20,514
4009	Additional Carbon Reduction Project	4,500		(4,000)	500	3,000	3,000	3,000	4,000	13,500
4010	Selby Urban Village Project	5,297		(4,100)	1,197	25,000	25,000	15,000	21,416	87,613
4011	Commercial Property Remediation	109			109	0	0	0	0	109
4993	Pride in the High Road (PITHR)	696	(696)		0	432	0	0	0	432
Economy - Growth & Employment		278,051	10,249	(52,671)	235,629	130,022	101,474	81,306	52,643	601,073
509	CPO - Empty Homes	8,050			8,050	1,000	1,000	0	0	10,050
512	Wholly Owned Company	5,000			5,000	0	0	0	0	5,000
Housing (GF) Homes & Communities		13,050	0	0	13,050	1,000	1,000	0	0	15,050

316	Asset Management of Council Buildings	9,222			9,222	4,331	1,381	4,000	4,000	22,934
330	Civic Centre Works	7,703			7,703	5,500	4,500	5,000	1,250	23,953
601	Business Imp Programme	122			122	0	0	0	0	122
602	Corporate IT Board	2,796	124	(1,400)	1,520	1,400	0	0	0	2,920
604	Continuous Improvement	2,245	0		2,245	950	950	950	950	6,045
605	Customer Services (Digital Transformation)	471			471	0	0	0	0	471
607	Financial Management System Replacement	2,522			2,522	650	0	0	0	3,172
622	Customer First	2,101	(2,000)		101	0	0	0	0	101
624	Digital Together	0	500		500	0	0	0	0	500
639	Ways of Working	483			483	0	0	0	0	483
640	Accommodation Move	0			0	0	0	0	0	0
650	Connected Communities	1,258			1,258	0	0	0	0	1,258
653	Capital Support for IT Projects	784			784	450	450	450	450	2,584
698	Responsiveness Fund	2,000			2,000	0	0	0	0	2,000
699	P6 - Approved Capital Programme Contingency	3,800	(2,690)		1,110	0	0	0	0	1,110
Your Council		35,507	(4,066)	(1,400)	30,040	13,281	7,281	10,400	6,650	67,652
TOTAL GF CAPITAL PROGRAMME		460,441	11,776	(90,807)	381,409	204,050	187,583	134,386	88,160	995,588

Virements for Cabinet Approval – all within the Council's Financial Framework

APPENDIX 6

Transfers from Reserves & Contingencies - for noting							
Period	Priority	Service/AD Area	Rev/ Cap	In year	Next year	Reason for budget changes	Description
10	People	Childrens	Revenue	338,618		Transfer from Reserves	To fund an interim team while a review of the programme of current/future work is carried out.
Virements for Approval (2021/22)							
8	Your Council	Corporate and Customer Services	Revenue	330,000		Budget Realignment	Realignment of one-off additional staffing support budget to reflect actual costs.
8	Your Council	Corporate Finance	Revenue	385,000	385,000	Budget Realignment	Rerealignment within the corporate levies budget to reflect actual costs.
10	People	Childrens	Revenue	452,000	452,000	Budget Realignment	Realignment of staff and grant budgets within Early Help to match establishments.
10	Your Council	Corporate and Customer Services	Revenue	349,012	349,012	Budget Adjustment	Transfer of Bad Debt Provision budget to fund Housing Benefit Overpayment Team on a permanent basis.
11	People	Childrens	Revenue	2,368,000		Budget Realignment	Reduction In Early Years budgets to reflect lower DSG allocation.
		Total 2021/22		4,222,630	1,186,012		

Proposed Capital Virements for Quarter Three (2021/22)

Priority	Scheme Number	Scheme Description	Budget Adjustment (Virement) (£)	Scheme Description
People - Adults	222	Wood Green Integrated Care Hub	1,000,000	Budget increase in anticipation to CCG Grant re WG Hub yet to be signed by the Director of Adults Services
People - Adults	222	Wood Green Integrated Care Hub	600,000	Budget transfers from scheme 4008
People - Adults	222	Wood Green Integrated Care Hub	400,000	Budget transfers from scheme 4007
			2,000,000	
Place	447	Alexandra Palace - Maintenance	1,100,000	Budget transfer from the approved capital programme contingency to the Alexandra Palace and Park for urgent capital works
			1,100,000	
Economy	421	HRW Acquisition	10,000,000	Mayor's Land Fund grant award from GLA
Economy	438	Vacant possession Civic Centre (Woodside House Refurbishment)	(22,059)	Project has now ceased and remaining budget transferred to capital contingency
Economy	480	Wood Green Regen (2)	1,528,499	Budget brought forward in current year from future years in line with project delivery
Economy	480	Wood Green Regen (2)	(432,500)	Budget transfer to scheme 478
Economy	478	Wood Green Good Growth Fund	432,500	Budget transfer from scheme 480
Economy	4007	Tottenham Hale DEN	(400,000)	Budget transfer to scheme 222
Economy	4008	Wood Green DEN	(600,000)	Budget transfer to scheme 222
			10,506,440	
Your Council	699	P6 - Approved Capital Programme Contingency	(1,100,000)	Budget transfer to the Alexandra Palace and Park for urgent capital works
Your Council	699	P6 - Approved Capital Programme Contingency	22,059	Budget transfer from the completed George Meehan (Woodside) House refurbishment budget, which is now completed
			(1,077,941)	
		OVERALL TOTAL =	12,528,499	

Write off Summary Report - Quarter 3

All Council debt is considered recoverable; the Corporate Debt Management Service makes every effort to collect charges due to the Council. However, in some circumstances it is appropriate to write off a debt when all forms of recovery action have been exhausted.

Council Debt is written off in line with the instructions set out within the Financial Regulations, following Legal advice, Court instruction or in accordance with the Limitations Act 1980.

This quarterly report is for information purposes only, which details the debts that were submitted for write off for the Financial Period 1st Oct 2021 – 31st Dec 2021 **(Q3)**. These relate to delinquent accounts where all forms of recovery action had been fully exhausted. The sums approved for write off by the Director of Finance under his delegated authority, have been adequately provided for in the Council's Bad Debt Provision.

The table below summarises the Q3 write off by service type, value and volume.

Quarter 3 Write Off, Financial Period 1st Oct 2021 - 31st Dec 2021									
Service	Council Tax	NNDR	HBOP	HRA Rent	Leaseholder	Commercial Rent	Sundry Debt	Parking	Total
Under £50k	£79,853.55	£115,075.65	£101,038.47	£291,525.87	£0.00	£0.00	£79,540.78	£0.00	£667,034.32
Volume	165	33	42	149	0	0	34	0	423
Over £50k	£0.00	£721,663.34	£52,463.70	£0.00	£0.00	£0.00	£321,541.63	£0.00	£1,095,668.67
Volume	0	10	1	0	0	0	2	0	13
Total Value	£79,853.55	£836,738.99	£153,502.17	£291,525.87	£0.00	£0.00	£401,082.41	£0.00	£1,762,702.99
Total Volume	165	43	43	149	0	0	36	0	436

At the time of writing all but the 13 over £50k write offs had been approved. These cases totalling £1.09m are planned to go before the Lead Member (Finance) in February. The majority of the over 50k cases relate to NNDR (Business Rates) that are subject to insolvency. This means the Council has no further opportunity of recovering these debts. These are older debts (3+ years) which could have been submitted sooner although the Council used this time to ensure all avenues of collection had been exhausted with no further prospect of recovery.

The category composition of the above write offs is shown below;

Write off reason	Sundry Debt	CTAX	NNDR	HBOP	HRA
Bankruptcy/ 'Company Insolvent'	6%	7%	67%	0%	0%
Debtor Deceased / No Probate Granted	53%	5%	0%	24%	7%
Recommended by Legal	11%	0%	0%	0%	0%
Statute Barred	8%	0%	0%	60%	89%
OIF Loan	3%	0%	0%	0%	0%
Uneconomic to Pursue	19%	0%	0%	3%	1%
Gone Away/Whereabouts Unknown/Abscond	0%	28%	19%	3%	3%
Petty Amount	0%	60%	0%	0%	0%
Section 27 Notice Served Estate distributed	0%	0%	9%	0%	0%
Reverse Write Off	0%	0%	5%	0%	0%
Identity Fraud	0%	0%	0%	10%	0%

Moving forward the Council shall review its systems and processes to modernise the efficiency and effectiveness of identifying risks and take swift action to mitigate these risks. The outcomes of this would be three-fold;

1. Improved efficiency of debt recovery
2. Reduced uncollectable debt
3. Reduced write offs

Debt Write off Greater than £50,000

All large businesses or organisations expect a certain level of income to become irrecoverable and therefore plan for some levels of write-offs. Occasionally, for a variety of reasons, debts do arise which become irrecoverable. Under Haringey's constitution, debts of £50,000 or more require the approval of the Cabinet member for Finance or Cabinet.

Details of the 13 debts over £50,000 presented for write off in this quarter are set out below. The Council's bad debt provisions are sufficient to cover the full value of these write-offs.

1. **M Ltd - £57,432.26:** This Business Rates debt occurred between 2014-18. A Liability Order was obtained in February 2019. Insolvency Practitioners were instructed and the company went into liquidation. There are no assets/insufficient funds and the director is not personally liable for the debt. The conclusion of the winding-up took place October 2021 and the company was formally dissolved in January 2022.
2. **C Ltd - £99,363.92:** This Business Rates debt occurred between 2017-18. A Liability Order was obtained in July 2018 but no payments materialised although payment arrangements were made. The debt was referred to Enforcement Agents who were unable to collect as the property was reported to be empty and vacant. The company did not file any accounts so there was no evidence of its status in terms of assets/liabilities/cash in bank etc. The company was dissolved in November 2020.
3. **G Ltd - £65,871.29:** This Business Rates debt occurred between 2017-18. This company went in and out of administration for a number of years from 2018 onwards. Prior to this they had a good payment history. A Company Voluntary Arrangement (CVA) was completed in April 2018 however due to a lack of available funds, the Council did not benefit from any share of the dividend. The Council is unable to recover any further amounts.
4. **G Ltd - £75,113.38:** This Business Rates debt occurred in 2018. This is the same company as above (3) albeit a different premises. The Council is unable to recover any further amounts as G Ltd is legally released from its obligations and any remaining debts that were included in the CVA should be written off.
5. **C Ltd - £73,517.33:** This Business Rates debt occurred between 2017-18. The director notified the Council by telephone that they had vacated the property in December 2017. No evidence of this was received. Insolvency Action was commenced in March 2018. The landlord confirmed surrender of the lease was in January 2018. The company wound up in June 2018. The Official Receiver reported that the company had no assets or resources to pay any dividends to creditors.
6. **T Ltd - £58,740.70:** This Business Rates debt occurred between 2016-17. Payments totalling £48,000 were received up to the date of the Liability Order (Nov 2016) and no further payments since then despite referring to Enforcement Agents. It was confirmed that the property had been vacated around January 2017. The company was dissolved in October 2017 and there are no assets/resources. There is no further recourse to recover this debt.

7. **Ms J - £57,455.26:** This Business Rates debt occurred between 2008-09. A Liability Order was granted in 2009 for £75,256.49. Due to the lack of payments, the case was referred to Enforcement agents. Ms J was made bankrupt June 2016. In February 2021, the Official Receiver confirmed there were no assets in relation to the bankruptcy estate. The Council is unable to recover any further amounts.
8. **Ms F - £116,954.41:** This Business Rates debt occurred between 2006-19. Ms F made payments up to 2009 when payments ceased altogether. Between 2009-17, the account was passed to alternate Enforcement Agents which proved unsuccessful. Payment arrangements were made but not kept. There were no assets or anything of value to be considered against the debt. Ms F was made bankrupt in 2019. The Official Receiver confirmed in October 2020 that there were no prospects of any dividend for creditors.
9. **Mr S - £57,500.00:** This Business Rates debt occurred between 2012-16. Payments ceased in May 2012. The account was passed to Enforcement Agents each year which proved unsuccessful. After extensive work the Council remained unsuccessful in finding anything useful about the whereabouts of the ratepayer. Occupants of the property were successful in becoming owners from July 2019 on the basis of an adverse possession claim. Mr S is deemed a missing person / presumed deceased. The Council is unable to recover any further amounts.
10. **R Ltd - £59,894.79:** This Business Rates debt occurred between 2013-15. Between 2014-16 recovery action commenced via a referral to Enforcement Agents although they were unable to trace nor make contact. In December 2017 the company went into voluntary liquidation. In January 2019 it was concluded that there were no assets. There were insufficient realisations to declare a dividend to the unsecured creditors. The Council is therefore unable to recover any further amounts.
11. **Exors of Mrs M - £52,463.70:** This Housing Benefit (HB) Overpayment debt stemmed from a contrived tenancy between 1996 - 2015 which gave rise to an overpayment of £52,719.00. As the HB was paid directly to Mrs M, the overpayment was recoverable from her. Recovery action commenced in May 2016 and although some monies were recovered through attachment of benefit, the outstanding debt was returned to the Council in June 2017 with no further deductions from the DWP. No further recovery action is possible as the debtor passed away in May 2018 and has no estate nor will to claim the outstanding debt.
12. **Mr M - £118,372.30:** This Adult Social Care debt occurred between 2015-20. The debtor is deceased, and the Council's Legal service have recommended the circumstances of the case do not support any further recovery actions.
13. **C Ltd - £201,441.21:** This Opportunity Investment Fund Loan debt occurred between 2015-17. Prior to awarding the loan, the GLA and the Council jointly commissioned an independent firm of consultants to carry out a feasibility study which formed part of the assessment process. C Ltd closed in December 2017 and has since been wound up and dissolved. The Council is unable to recover any further amounts. The premises which was occupied has remained closed since the closure of C Ltd.

Covid 19 Grants Allocated 2021/22
APPENDIX 8

Grant Name	Grant Type	£m
Local Restrictions (Closed)	Business	5.13
Welcome Back Fund (former RHSSF - Reopening High Streets Safely Fund)	Business Support	0.48
Business Restart Grant	Business Support	11.22
Additional Restrictions Grant	Business Support	5.37
C19 Unringenced Grant (Local Authority Support Grant)	Emergency Funding	9.12
Contain Outbreak Management Fund (COMF)	Track & Trace / Outbreak Mgt	2.35
ASC Infection Control Fund 21/22 (Round 3)	Track & Trace / Outbreak Mgt	0.31
ASC Rapid Testing Fund (2) 21/22	Track & Trace / Outbreak Mgt	0.25
Local Council Tax Support Scheme	Welfare	3.61
C19 Unringenced Grant (Local Authority Support Grant)	Welfare	0.09
C-19 Winter Grant Tranche 3 (17 April to 20 June)	Welfare	0.23
C-19 Winter Grant Tranche 3 (21 June to 30 Sept)	Welfare	0.93
Self Isolation support Framework (March-June21)	Welfare	0.38
Self Isolation support Framework (July 21)	Welfare	0.09
Clinically Extremely Vulnerable Support Funding (CEV)	Welfare	0.33
Clinically Extremely Vulnerable Support Funding (CEV)	Welfare	0.20
Track & Trace April 2021 - Discretionary Payments	Welfare	0.17
Track & Trace September 2021 - Discretionary Payments	Welfare	0.09
Household Support Fund	Welfare	2.41
Track and Trace Oct-Dec 2021 Main Scheme and Administration	Welfare	0.31
Track and Trace Aug-Sept 2021 Main Scheme	Welfare	0.03
Workforce Recruitment and Retention Fund 1 (WRRF1)	Welfare	2.21
ASC Omicron support	Welfare	0.29
New Burdens 4 Restart & Additional Restrictions Grant Scheme	Welfare	0.13
Practical Support for Self Isolation (Aug - Oct 21)	Welfare	0.23
	Total	45.95